

# INTERNATIONAL SEARCH REPORT

1

International application No.

PCT/SE 99/02032

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: B01D 15/08

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: B01D, B01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5589069 A (HU WENZHI), 31 December 1996 (31.12.96), column 12, line 29 - line 31, abstract	1-9,11,14
	--	
A	WO 9849279 A1 (DIAMYD THERAPEUTICS AB), 5 November 1998 (05.11.98), claim 1, abstract	1-9,11,14
	-- -----	

☐ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"I" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

10 April 2000

Date of mailing of the international search report

19-04-2000

Name and mailing address of the ISA/

Swedish Patent Office

Box 5055, S-102 42 STOCKHOLM

Facsimile No. +46 8 666 02 86

Authorized officer

Moa Grönkvist/ELY

Telephone No. +46 8 782 25 00

# INTERNATIONAL SEARCH REPORT

International application No.  
**PCT/SE 99/02032**

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

**See extra sheet.**

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: **1-9, 11, 14**

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

This application contains the following inventions, which are not so linked as to form a single inventive concept under PCT rule 13.1:

1. Claims 1-9, 11 and 14 relates to sorbent for ion exchange chromatography. The sorbent has an organic core and a plurality of zwitterionic groups covalently bonded to it.
2. Claims 10, 12 and 13 relates to a method for purifying macromolecules by any zwitterionic ion exchange chromatography. The net charge of the macromolecule sample is determined as a function of pH. This function is used to determine a suitable pH-value for the separation.

The special technical feature of group 1 is that the sorbent has an organic core and a plurality of zwitterionic groups covalently bonded to it, while the special technical feature of group 2 is purification of macromolecules by any zwitterionic ion exchange chromatography.

Group 2 does not involve zwitterionic groups covalently bonded to the sorbent.

These groups of inventions are not so linked as to form a single general inventive concept. There is no technical relationship among these inventions involving one or more of the same or corresponding technical features.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

02/12/99

International application No.

PCT/SE 99/02032

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
US	5589069 A	31/12/96	JP	6229994 A	19/08/94
WO	9849279 A1	05/11/98	AU	4796797 A	22/02/99
			AU	7353298 A	24/11/98
			SE	9701564 D	00/00/00
			AU	6234798 A	09/09/98
			SE	9800256 D	00/00/00
			AU	7353898 A	24/11/98
			SE	9800735 D	00/00/00

## PATENT COOPERATION TREATY

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Assistant Commissioner for Patents  
 United States Patent and Trademark  
 Office  
 Box PCT  
 Washington, D.C.20231  
 ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

<b>Date of mailing (day/month/year)</b> 21 August 2000 (21.08.00)	
<b>International application No.</b> PCT/SE99/02032	<b>Applicant's or agent's file reference</b> 52295-57525
<b>International filing date (day/month/year)</b> 09 November 1999 (09.11.99)	<b>Priority date (day/month/year)</b> 09 November 1998 (09.11.98)
<b>Applicant</b> IRGUM, Knut et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:

30 May 2000 (30.05.00)

☐ in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was

☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

<p>The International Bureau of WIPO          34, chemin des Colombettes          1211 Geneva 20, Switzerland</p> <p>Facsimile No.: (41-22) 740.14.35</p>	<p>Authorized officer</p> <p>Charlotte ENGER</p> <p>Telephone No.: (41-22) 338.83.38</p>
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# PATENT COOPERATION TREATY

# PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>52295-57525-MGB</b>	<div style="display: flex; justify-content: space-between;"> <div> <b>FOR FURTHER ACTION</b> </div> <div>           See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)         </div> </div>	
International application No. <b>PCT/SE99/02032</b>	International filing date (day/month/year) <b>09/11/1999</b>	Priority date (day/month/year) <b>09/11/1998</b>
International Patent Classification (IPC) or national classification and IPC <b>B01D15/08</b>		
Applicant <b>IRGUM, K. et al.</b>		
<p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of 8 sheets, including this cover sheet.</p> <p><input checked="" type="checkbox"/> This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of 3 sheets.</p>		
<p>3. This report contains indications relating to the following items:</p> <ul style="list-style-type: none"> <li>I <input checked="" type="checkbox"/> Basis of the report</li> <li>II <input type="checkbox"/> Priority</li> <li>III <input checked="" type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</li> <li>IV <input checked="" type="checkbox"/> Lack of unity of invention</li> <li>V <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</li> <li>VI <input type="checkbox"/> Certain documents cited</li> <li>VII <input checked="" type="checkbox"/> Certain defects in the international application</li> <li>VIII <input checked="" type="checkbox"/> Certain observations on the international application</li> </ul>		
Date of submission of the demand  <b>30/05/2000</b>	Date of completion of this report  <b>26.01.2001</b>	
Name and mailing address of the international preliminary examining authority:  <div style="display: flex; align-items: center;"> <div>             European Patent Office              D-80298 Munich              Tel. +49 89 2399 - 0 Tx: 523656 epmu d              Fax: +49 89 2399 - 4465           </div> </div>	Authorized officer  <b>Luethé, H</b>  Telephone No. +49 89 2399 7519	



**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/SE99/02032

**I. Basis of the report**

1. This report has been drawn on the basis of *(substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments (Rules 70.16 and 70.17).):*

**Description, pages:**

1-29 as originally filed

**Claims, No.:**

1-13 with telefax of 25/10/2000

**Drawings, sheets:**

1/9-9/9 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/SE99/02032

☐ the drawings, sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

*(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)*

6. Additional observations, if necessary:

**III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability**

1. The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:

☐ the entire international application.

☒ claims Nos. 10-12.

because:

☐ the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (*specify*):

☐ the description, claims or drawings (*indicate particular elements below*) or said claims Nos. are so unclear that no meaningful opinion could be formed (*specify*):

☐ the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.

☒ no international search report has been established for the said claims Nos. 10-12.

2. A meaningful international preliminary examination report cannot be carried out due to the failure of the nucleotide and/or amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative Instructions:

☐ the written form has not been furnished or does not comply with the standard.

☐ the computer readable form has not been furnished or does not comply with the standard.

**IV. Lack of unity of invention**

1. In response to the invitation to restrict or pay additional fees the applicant has:

☐ restricted the claims.



**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/SE99/02032

- ☐ paid additional fees.
- ☐ paid additional fees under protest.
- ☒ neither restricted nor paid additional fees.

2. ☐ This Authority found that the requirement of unity of invention is not complied and chose, according to Rule 68.1, not to invite the applicant to restrict or pay additional fees.
3. This Authority considers that the requirement of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is
- ☐ complied with.
- ☒ not complied with for the following reasons:  
**see separate sheet**
4. Consequently, the following parts of the international application were the subject of international preliminary examination in establishing this report:
- ☐ all parts.
- ☒ the parts relating to claims Nos. 1-9, 13.

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Statement**

Novelty (N)	Yes: Claims 3-7, 9
	No: Claims 1,2,13
Inventive step (IS)	Yes: Claims
	No: Claims 3-7, 9
Industrial applicability (IA)	Yes: Claims 1-9, 13
	No: Claims

- 2. Citations and explanations**  
**see separate sheet**

**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:  
**see separate sheet**

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/SE99/02032

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see separate sheet

**R Item IV**

**Lack of unity of invention**

The International Preliminary Examination Authority agrees with the findings of the International Search Authority (cf. International Search Report of 10/04/2000).

This application contains the following three separate inventions:

1. "A sorbent suitable for use as a stationary phase in elution chromatography, the core of said sorbent consisting of an organic resin and said sorbent having a plurality of covalently bonded non-aromatic zwitterionic groups on its surface" (claim 1; first invention).
2. "A method for purifying a particular biological macromolecule ... by zwitterionic ion exchange chromatography ... characterised in that the column contains sorbent carriers comprising zwitterionic non-aromatic groups according to anyone of claims 1-9" (claim 10; second invention).
3. "An ion exchange column suitable for use in zwitterionic ion exchange chromatography comprising a sorbent carrier according to anyone of claims 1-9" (claim 13; third invention).

Since the subject-matter of independent claim 1 (and that of independent claim 13) is not novel (see point V below), there is (obviously) no technical relationship between claims 1, 10 and 13 involving one or more of the same or corresponding technical feature and, hence, said inventions are not so linked as to form a single inventive concept under Rule 13.1 PCT.

Accordingly was the International Search Report solely drawn for claims 1-9 and 13.

**Re Item V**

**Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. Reference is made to the following document:

D1: US-A-5589069

2. The present application does not satisfy the criterion set forth in Article 33 (2) PCT because the subject-matter of claims 1, 2, 8 and 13 is not new as defined in the regulations (Rule 64 (1)-(3) PCT).

- 2.1. Document D1, which is considered to represent the most relevant state of the art, discloses "a method for separating and analysing ions by electrostatic ion chromatography" (cf. column 1, lines 16-17) "wherein a zwitterionic stationary phase is employed, comprising a support carrier and a zwitterionic charged layer formed by directly or indirectly coating a compound having both of a positive-charge portion and a negative-charge portion on the surface of the support carrier" (cf. column 2, lines 45-50) The support carrier "material is illustrated, for example, by silica gel, **polystyrene** and the like, and the surface thereof is **preferably porous** for ready immobilization. Furthermore, the zwitterionic layer may directly be formed on a carrier to be used, **through chemical reaction** or physical adsorption" (cf. column 6, lines 28-33; emphasis by the authorized officer).

It is clear that a chemical reaction, for example with polystyrene, leads to **covalent** bonding since, for obvious reasons, both ionic and metallic bonding are to be excluded in this case.

The covalently bonded zwitterionic groups are non-aromatic (cf. column 6, lines 46-59; fig. 2-4; column 8, lines 1-9) and may be of the sulfobetaine type (cf. fig. 4, "Zwittergent 3-14").

D1 further discloses an ion exchange column suitable for use in zwitterionic ion exchange chromatography comprising a sorbent carrier having a plurality of covalently bonded non-aromatic zwitterionic groups on its surface (cf. under "Embodiments", column 7 and 8).

- 2.2. Thus, as D1 discloses all the technical features defined by the subject-matter of claims 1, 2, 8 and 13 of the present application, said subject-matter is not new in respect of prior art as defined in the regulations (Rule 64(1)-(3) PCT).

- 2.3. Dependent claims 3-7 and 9 do not appear to contain any additional features which, in combination with the features of any claim to which they refer, involve an inventive step, since said features come within the scope of the customary practice followed by persons skilled in the art.

**Re Item VII**

**Certain defects in the international application**

1. Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in document D1 is not mentioned in the description, nor is this document identified therein.
2. The applicant's attention is further drawn to the fact that phrases following the word "preferably" (cf. claim 3) or the expression "suitable for use as" (cf. claim 1) have to be interpreted as being merely optional and are therefore without any limiting effect.  
The phrases should either be incorporated into said claims as obligatory or should be deleted and may then be made the subject-matter of a dependent claim.

**Re Item VIII**

**Certain observations on the international application**

The application does not meet the requirements of Article 6 PCT, because claims 6 and 7 are not clear.

Both claims 6 and 7 refer to a product, i.e. to a sorbent. The term "the surface of the organic resin has been activated by incorporation of a reactive functional group ..." in claims 6 and 7 however refers to a process feature rather than to a product and, in this case, renders the scope of said claims unclear, since it is not clearly detectable from the claimed product by which reactive group the organic resin has been activated.

# 1

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/SE 99/02032

### A. CLASSIFICATION OF SUBJECT MATTER

IPC7: B01D 15/08

According to International Patent Classification (IPC) or to both national classification and IPC

### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: B01D, B01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

### C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5589069 A (HU WENZHI), 31 December 1996 (31.12.96), column 12, line 29 - line 31, abstract	1-9,11,14
A	WO 9849279 A1 (DIAMYD THERAPEUTICS AB), 5 November 1998 (05.11.98), claim 1, abstract	1-9,11,14

☐ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

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"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

10 April 2000

Date of mailing of the international search report

19 -04- 2000

Name and mailing address of the ISA/

Swedish Patent Office

Box 5055, S-102 42 STOCKHOLM

Facsimile No. +46 8 666 02 86

Authorized officer

Moa Grönkvist/ELY

Telephone No. +46 8 782 25 00

# INTERNATIONAL SEARCH REPORT

International application No.  
**PCT/SE 99/02032**

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

**See extra sheet.**

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: **1-9, 11, 14**

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/SE 99/02032

This application contains the following inventions, which are not so linked as to form a single inventive concept under PCT rule 13.1:

1. Claims 1-9, 11 and 14 relates to sorbent for ion exchange chromatography. The sorbent has an organic core and a plurality of zwitterionic groups covalently bonded to it.
2. Claims 10, 12 and 13 relates to a method for purifying macromolecules by any zwitterionic ion exchange chromatography. The net charge of the macromolecule sample is determined as a function of pH. This function is used to determine a suitable pH-value for the separation.

The special technical feature of group 1 is that the sorbent has an organic core and a plurality of zwitterionic groups covalently bonded to it, while the special technical feature of group 2 is purification of macromolecules by any zwitterionic ion exchange chromatography.

Group 2 does not involve zwitterionic groups covalently bonded to the sorbent.

These groups of inventions are not so linked as to form a single general inventive concept. There is no technical relationship among these inventions involving one or more of the same or corresponding technical features.



# INTERNATIONAL SEARCH REPORT

Information on patent family members

02/12/99

International application No.

PCT/SE 99/02032

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
US	5589069 A	31/12/96	JP	6229994 A	19/08/94
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WO	9849279 A1	05/11/98	AU	4796797 A	22/02/99
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			AU	6234798 A	09/09/98
			SE	9800256 D	00/00/00
			AU	7353898 A	24/11/98
			SE	9800735 D	00/00/00
-----					

REC'D 30 JAN 2001

WIPO

PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

15



Applicant's or agent's file reference 52295-57525-MGB	<b>FOR FURTHER ACTION</b>		See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
International application No. PCT/SE99/02032	International filing date (day/month/year) 09/11/1999	Priority date (day/month/year) 09/11/1998	
International Patent Classification (IPC) or national classification and IPC B01D15/08			
Applicant IRGUM, K. et al.			

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of 8 sheets, including this cover sheet.
  - ☒ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of 3 sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☒ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☒ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☒ Certain defects in the international application
- VIII ☒ Certain observations on the international application

Date of submission of the demand  30/05/2000	Date of completion of this report  26.01.2001
Name and mailing address of the international preliminary examining authority:   European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer  Luethé, H  Telephone No. +49 89 2399 7519 

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/SE99/02032

## I. Basis of the report

1. This report has been drawn on the basis of *(substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments (Rules 70.16 and 70.17).):*

### Description, pages:

1-29 as originally filed

### Claims, No.:

1-13 with telefax of 25/10/2000

### Drawings, sheets:

1/9-9/9 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/SE99/02032

☐ the drawings, sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

*(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)*

6. Additional observations, if necessary:

### III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

1. The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:

☐ the entire international application.

☒ claims Nos. 10-12.

because:

☐ the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (*specify*):

☐ the description, claims or drawings (*indicate particular elements below*) or said claims Nos. are so unclear that no meaningful opinion could be formed (*specify*):

☐ the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.

☒ no international search report has been established for the said claims Nos. 10-12.

2. A meaningful international preliminary examination report cannot be carried out due to the failure of the nucleotide and/or amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative Instructions:

☐ the written form has not been furnished or does not comply with the standard.

☐ the computer readable form has not been furnished or does not comply with the standard.

### IV. Lack of unity of invention

1. In response to the invitation to restrict or pay additional fees the applicant has:

☐ restricted the claims.

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/SE99/02032

- ☐ paid additional fees.
- ☐ paid additional fees under protest.
- ☒ neither restricted nor paid additional fees.
2. ☐ This Authority found that the requirement of unity of invention is not complied and chose, according to Rule 68.1, not to invite the applicant to restrict or pay additional fees.
3. This Authority considers that the requirement of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is
- ☐ complied with.
- ☒ not complied with for the following reasons:  
**see separate sheet**
4. Consequently, the following parts of the international application were the subject of international preliminary examination in establishing this report:
- ☐ all parts.
- ☒ the parts relating to claims Nos. 1-9, 13.

## V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

### 1. Statement

Novelty (N)	Yes:	Claims	3-7, 9
	No:	Claims	1,2,13
Inventive step (IS)	Yes:	Claims	
	No:	Claims	3-7, 9
Industrial applicability (IA)	Yes:	Claims	1-9, 13
	No:	Claims	

### 2. Citations and explanations **see separate sheet**

## VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted:  
**see separate sheet**

## VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

**INTERNATIONAL PRELIMINARY  
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**Re Item IV**

**Lack of unity of invention**

The International Preliminary Examination Authority agrees with the findings of the International Search Authority (cf. International Search Report of 10/04/2000).

This application contains the following three separate inventions:

1. "A sorbent suitable for use as a stationary phase in elution chromatography, the core of said sorbent consisting of an organic resin and said sorbent having a plurality of covalently bonded non-aromatic zwitterionic groups on its surface" (claim 1; first invention).
2. "A method for purifying a particular biological macromolecule ... by zwitterionic ion exchange chromatography ... characterised in that the column contains sorbent carriers comprising zwitterionic non-aromatic groups according to anyone of claims 1-9" (claim 10; second invention).
3. "An ion exchange column suitable for use in zwitterionic ion exchange chromatography comprising a sorbent carrier according to anyone of claims 1-9" (claim 13; third invention).

Since the subject-matter of independent claim 1 (and that of independent claim 13) is not novel (see point V below), there is (obviously) no technical relationship between claims 1, 10 and 13 involving one or more of the same or corresponding technical feature and, hence, said inventions are not so linked as to form a single inventive concept under Rule 13.1 PCT.

Accordingly was the International Search Report solely drawn for claims 1-9 and 13.

**Re Item V**

**Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. Reference is made to the following document:

D1: US-A-5589069

2. The present application does not satisfy the criterion set forth in Article 33 (2) PCT because the subject-matter of claims 1, 2, 8 and 13 is not new as defined in the regulations (Rule 64 (1)-(3) PCT).

- 2.1. Document D1, which is considered to represent the most relevant state of the art, discloses "a method for separating and analysing ions by electrostatic ion chromatography" (cf. column 1, lines 16-17) "wherein a zwitterionic stationary phase is employed, comprising a support carrier and a zwitterionic charged layer formed by directly or indirectly coating a compound having both of a positive-charge portion and a negative-charge portion on the surface of the support carrier" (cf. column 2, lines 45-50) The support carrier "material is illustrated, for example, by silica gel, **polystyrene** and the like, and the surface thereof **is preferably porous** for ready immobilization. Furthermore, the zwitterionic layer may directly be formed on a carrier to be used, **through chemical reaction** or physical adsorption" (cf. column 6, lines 28-33; emphasis by the authorized officer).

It is clear that a chemical reaction, for example with polystyrene, leads to **covalent** bonding since, for obvious reasons, both ionic and metallic bonding are to be excluded in this case.

The covalently bonded zwitterionic groups are non-aromatic (cf. column 6, lines 46-59; fig. 2-4; column 8, lines 1-9) and may be of the sulfobetaine type (cf. fig. 4, "Zwittergent 3-14").

D1 further discloses an ion exchange column suitable for use in zwitterionic ion exchange chromatography comprising a sorbent carrier having a plurality of covalently bonded non-aromatic zwitterionic groups on its surface (cf. under "Embodiments", column 7 and 8).

- 2.2. Thus, as D1 discloses all the technical features defined by the subject-matter of claims 1, 2, 8 and 13 of the present application, said subject-matter is not new in respect of prior art as defined in the regulations (Rule 64(1)-(3) PCT).



- 2.3. Dependent claims 3-7 and 9 do not appear to contain any additional features which, in combination with the features of any claim to which they refer, involve an inventive step, since said features come within the scope of the customary practice followed by persons skilled in the art.

**Re Item VII**

**Certain defects in the international application**

1. Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in document D1 is not mentioned in the description, nor is this document identified therein.
2. The applicant's attention is further drawn to the fact that phrases following the word "preferably" (cf. claim 3) or the expression "suitable for use as" (cf. claim 1) have to be interpreted as being merely optional and are therefore without any limiting effect.  
The phrases should either be incorporated into said claims as obligatory or should be deleted and may then be made the subject-matter of a dependent claim.

**Re Item VIII**

**Certain observations on the international application**

The application does not meet the requirements of Article 6 PCT, because claims 6 and 7 are not clear.

Both claims 6 and 7 refer to a product, i.e. to a sorbent. The term "the surface of the organic resin has been activated by incorporation of a reactive functional group ..." in claims 6 and 7 however refers to a process feature rather than to a product and, in this case, renders the scope of said claims unclear, since it is not clearly detectable from the claimed product by which reactive group the organic resin had been activated.

## New claims

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1. A sorbent suitable for use as a stationary phase in elution chromatography, the core of said sorbent consisting of an organic resin and said sorbent having a plurality of covalently bonded non-aromatic zwitterionic groups on its surface.
- 5 2. A sorbent according to claim 1, characterised in that the sorbent comprises a porous carrier.
3. A sorbent according to claim 1 or claim 2, characterised in that the zwitterionic non-aromatic groups have been bound to the carrier by polymerising, preferably graft  
10 polymerising, monomers comprising non-aromatic zwitterionic groups on the surface of the carrier.
4. A sorbent according to claim 3, characterised in that the zwitterionic non-aromatic groups have been incorporated throughout the structure of the carrier sorbent by  
15 polymerising monomers comprising non-aromatic zwitterionic groups together with suitable divinyl crosslinking monomers.
5. A sorbent according to claim 1 or claim 2, characterised in that the zwitterionic non-aromatic groups have been bound to the carrier by activation of the carrier with an  
20 alkylating functional group, which is subsequently reacted with an  $\omega$ -dialkylamino-alkylsulfonic acid to form non-aromatic zwitterionic groups on the carrier.
6. A sorbent carrier according to claim 1 or claim 2, characterised in that the surface of the organic resin has been activated by incorporation of a reactive functional group  
25 such as epoxy, or halogenoalkyl, such as chloroalkyl or bromoalkyl and that is capable of alkylating the amino group of an aminoalkylsulfonic acid in a reaction producing covalently bonded zwitterionic non-aromatic groups on the sorbent carrier.
7. A sorbent carrier according to claim 1 or claim 2, characterised in that the surface of  
30 the organic resin has been activated by incorporation of a reactive functional group such as hydroxyalkyl, carboxylic acid, carboxylic acid chloride, carboxylic acid

## New claims

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bromide, carboxylic anhydride, carboxylic ester, alkyl oxonium, epoxy, chloroalkyl, bromoalkyl, diazoalkyl, or activated amide such as a carboxylic imidazolide or triazolide, that is capable of forming an ester or ether bond with a hydroxyl group residing on the alkyl chain interconnecting the quarternary ammonium group and the sulfonate group in a sulfobetaine zwitterion, thus covalently binding a non-aromatic zwitterionic group to the surface of the activated sorbent carrier in a lateral fashion.

8. A sorbent carrier according to any one of the previous claims, characterised in that the carrier is a polymeric monolith.

9. A sorbent carrier according to any one of the previous claims, characterised in that the zwitterionic groups are  $\omega$ -sulfoalkyl-trialkylammonio (sulfobetaine) groups.

10. A method for purifying a particular biological macromolecule such as a protein or a nucleic acid by zwitterionic ion exchange chromatography, comprising the steps of

- a) determining the appoximative net charge of the biological macromolecule in aqueous solution as a function of pH of said solution;
- b) using the information obtained in step a) for choosing a pH and an ionic strength at which the macromolecule obtains a suitably strong interaction with a zwitterionic ion exchange column;
- c) using the information obtained in step b) for choosing a pH and an ionic strength at which the macromolecule is eluted;
- d) applying a solution containing said biological macromolecule to a column comprising zwitterionic sorbent carriers, said solution having a pH and an ionic strength that have been chosen in step b);
- e) eluting the column in step d) with an elution solution whose pH and ionic strength have been chosen in step c); and
- f) recovering said biological macromolecule, characterised in that the column contains sorbent carriers comprising zwitterionic non-aromatic groups according to anyone of claims 1-9.

New claims

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11. A method according to claim 10, characterised in that the maximal ionic strength used is 0.25 M.

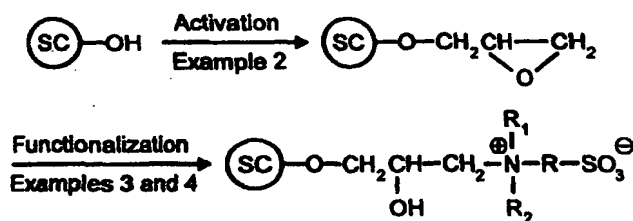
5 12. A method according to anyone of claims 10-12, characterised in that the solvent in the elution solution consists of water with less than 10% admixture of an organic solvent.

13. An ion exchange column suitable for use in zwitterionic ion exchange chromatography comprising a sorbent carrier according to anyone of claims 1-9.

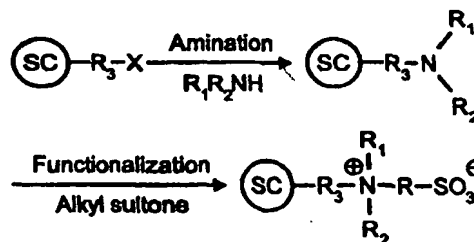
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<p>(51) International Patent Classification <sup>7</sup> :  <b>B01D 15/08</b></p>	<p><b>A1</b></p>	<p>(11) International Publication Number: <b>WO 00/27496</b></p> <p>(43) International Publication Date: <b>18 May 2000 (18.05.00)</b></p>
<p>(21) International Application Number: <b>PCT/SE99/02032</b></p> <p>(22) International Filing Date: <b>9 November 1999 (09.11.99)</b></p> <p>(30) Priority Data:  <b>9803838-3</b>                      <b>9 November 1998 (09.11.98)</b>                      <b>SE</b></p> <p>(71)(72) Applicants and Inventors: <b>IRGUM, Knut [SE/SE]; Tisdagsvägen 5, S-906 37 Umeå (SE). VIKLUND, Camilla [SE/SE]; Mariehemsvägen 33 F, S-906 52 Umeå (SE).</b></p> <p>(74) Agents: <b>BERG, S. A. et al.; Albihns Patentbyrå Stockholm AB, P.O. Box 5581, S-114 85 Stockholm (SE).</b></p>		<p>(81) Designated States: <b>AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</b></p> <p><b>Published</b></p> <p><i>With international search report.</i></p> <p><i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>

A



**B**



**SC = Sorbent carrier**

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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top; border: none; padding: 5px;"> <p>(21) International Application Number: PCT/SE99/02032</p> <p>(22) International Filing Date: 9 November 1999 (09.11.99)</p> <p>(30) Priority Data: 9803838-3                      9 November 1998 (09.11.98)                      SE</p> <p>(71)(72) Applicants and Inventors: IRGUM, Knut [SE/SE]; Tisdagsvägen 5, S-906 37 Umeå (SE). VIKLUND, Camilla [SE/SE]; Mariehemsvägen 33 F, S-906 52 Umeå (SE).</p> <p>(74) Agents: BERG, S. A. et al.; Albihns Patentbyrå Stockholm AB, P.O. Box 5581, S-114 85 Stockholm (SE).</p> </td> <td style="width: 50%; vertical-align: top; border: none; padding: 5px;"> <p>(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p> </td> </tr> </table>			<p>(21) International Application Number: PCT/SE99/02032</p> <p>(22) International Filing Date: 9 November 1999 (09.11.99)</p> <p>(30) Priority Data: 9803838-3                      9 November 1998 (09.11.98)                      SE</p> <p>(71)(72) Applicants and Inventors: IRGUM, Knut [SE/SE]; Tisdagsvägen 5, S-906 37 Umeå (SE). VIKLUND, Camilla [SE/SE]; Mariehemsvägen 33 F, S-906 52 Umeå (SE).</p> <p>(74) Agents: BERG, S. A. et al.; Albihns Patentbyrå Stockholm AB, P.O. Box 5581, S-114 85 Stockholm (SE).</p>	<p>(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>
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<p>(54) Title: A CHROMATOGRAPHY METHOD AND A COLUMN MATERIAL USEFUL IN SAID METHOD</p>				
<p>(57) Abstract</p> <p>The present invention relates to a novel sorbent suitable for use as a stationary phase in a chromatography column, the core of which consists of an organic polymer of synthetic or natural origin. Further, the carrier according to the invention exhibits a plurality of covalently bonded non-aromatic zwitterionic groups on its surface. Additionally, the invention also relates to a method for purifying a particular biological macromolecule, such as a protein or a nucleic acid, by zwitterionic ion exchange chromatography as well as an ion exchange column suitable for use in zwitterionic ion exchange chromatography.</p>				
<div style="display: flex; justify-content: space-around;"> <div style="width: 45%;"> <p style="text-align: center;"><b>A</b></p> <math display="block">\text{SC}-\text{OH} \xrightarrow[\text{Example 2}]{\text{Activation}} \text{SC}-\text{O}-\text{CH}_2\text{CH}-\text{CH}_2</math> <math display="block">\text{SC}-\text{O}-\text{CH}_2\text{CH}-\text{CH}_2 \xrightarrow[\text{Examples 3 and 4}]{\text{Functionalization}} \text{SC}-\text{O}-\text{CH}_2\text{CH}(\text{OH})-\text{CH}_2-\text{N}^+(\text{R}_1)(\text{R}_2)-\text{R}-\text{SO}_3^-</math> <p style="text-align: center;">SC = Sorbent carrier</p> </div> <div style="width: 45%;"> <p style="text-align: center;"><b>B</b></p> <math display="block">\text{SC}-\text{R}_3-\text{X} \xrightarrow[\text{R}_1\text{R}_2\text{NH}]{\text{Amination}} \text{SC}-\text{R}_3-\text{N}(\text{R}_1)(\text{R}_2)</math> <math display="block">\text{SC}-\text{R}_3-\text{N}(\text{R}_1)(\text{R}_2) \xrightarrow[\text{Alkyl sulfone}]{\text{Functionalization}} \text{SC}-\text{R}_3-\text{N}^+(\text{R}_1)(\text{R}_2)-\text{R}-\text{SO}_3^-</math> <p style="text-align: center;">SC = Sorbent carrier</p> </div> </div>				

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<b>CU</b>	Cuba	<b>LC</b>	Saint Lucia	<b>RU</b>	Russian Federation		
<b>CZ</b>	Czech Republic	<b>LI</b>	Liechtenstein	<b>SD</b>	Sudan		
<b>DE</b>	Germany	<b>LK</b>	Sri Lanka	<b>SE</b>	Sweden		
<b>DK</b>	Denmark	<b>LR</b>	Liberia	<b>SG</b>	Singapore		
<b>EE</b>	Estonia						



A CHROMATOGRAPHY METHOD AND A COLUMN MATERIAL USEFUL IN  
SAID METHOD

Technical field

5 The present invention relates to a novel ion-exchange chromatography method suitable for separating biological macromolecules. The method is based upon using sorbents on which zwitterionic functional groups have been bound. The invention also relates to a novel sorbent on which zwitterionic pendant groups have been covalently bound.

10

Technical background

Ion-exchange chromatography (IEC) is the most widely used chromatographic tool in protein purification schemes, and is performed either as a high- or low pressure technique. Ion-exchange sorbents are usually based on inorganic silica beads or  
15 polymeric particles, which are provided with surface cationic or anionic groups capable of interacting electrostatically with ionic species of opposite charge. The retention of a protein will thus depend on the charge status of the protein, the amount of interaction sites available on the sorbent, and on the strength of the individual interactions. Displacement of the analyte is normally achieved by  
20 competitively increasing the mobile-phase ionic strength, and relatively high salt concentrations are often required in order to elute even moderately retained proteins [Deutscher, M.P. (Ed.), Guide to Protein Purification (Meth. Enzymol., Vol. 182), Academic Press, 1990]. Even if many proteins can withstand high salt concentrations without severe denaturation, there are usually other practical limits  
25 to the ionic strength of the eluent, such as "salting out", or the desire to produce a separated sample in a relatively low saline buffer to avoid extensive dialysis of the solute after the separation. An ideal ion-exchange sorbent should also be free from non-specific interaction sites, possess an internal porosity that allows even large proteins to enter, and have a permeability and a mechanical rigidity sufficient for  
30 operation at high flow rates (Müller, W., *J. Chromatogr.*, 1990, 510, 133-140).

Accordingly, there is a need for an improved ion-exchange chromatography method which does not require elution using high salt concentrations, and which fulfills as many of the above mentioned criteria regarding ideal ion-exchange sorbents as possible.

Because of the significance of bioseparations both in industry and in research, there is a never ending search for novel stationary phases that can fulfill up-coming requirements. In particular, there is an interest in new separation modes, which can provide selectivities that are orthogonal to existing techniques. In 1992, Svec and Fréchet pioneered a novel kind of separation media consisting of a rigid macroporous monoliths polymerized *in-situ* within the confines of a chromatographic column (Svec, F.; Fréchet, J.M.J. *Anal. Chem.* **1992**, *64*, 830-832). The porous properties of these monoliths can be easily controlled during their synthesis (Viklund, C.; Svec, F.; Fréchet, J.M.J.; Irgum, K. *Chem. Mater.* **1996**, *8*, 744-750; Viklund, C.; Ponten, E.; Glad, B.; Irgum, K. Svec, F.; Hörstedt, P. *Chem. Mater.* **1997**, *9*, 463-471), which makes it possible to design materials suitable for a particular bioseparation application. For example, poly(glycidyl methacrylate-co-ethylene dimethacrylate) monoliths modified to contain diethylamine functional groups have been used in anion exchange mode for the separation of proteins (Svec, F.; Fréchet, J.M.J., *Anal. Chem.* **1992**, *64*, 830-832; Svec, F.; Fréchet, J.M.J., *J. Chromatogr. A.* **1995b**, *702*, 89-95). Poly(styrene-co-divinylbenzene) monolithic columns have been successfully used for the fast separation of proteins in the reversed phase mode (Wang, Q. C.; Svec, F.; Fréchet, J.M.J. *Anal. Chem.* **1993**, *65*, 2243-2248), and it has recently been shown that polyacrylamide based monoliths can be used for the rapid separation of proteins in the hydrodynamic interaction mode when butyl methacrylate is included in the polymerization mold (Xie, S.; Svec, F.; Fréchet, J.M.J., *J. Chromatogr. A.*, **1997**, *775*, 65-72). It has also recently been demonstrated that a porous monolithic column grafted with 2-acrylamido-2-methyl-1-propane sulfonic acid can be used for fast cation exchange

chromatography of basic proteins (Viklund, C.; Svec, F.; Fréchet, J.M.J.; Irgum, K., *Biotechnol. Progr.*, **1997**, *13*, 597-600).

Experiments with multiple mode ion exchange chromatographic separations have  
5 been done with tandem connection of anion exchange and cation exchange columns  
in series (El Rassi, Z.; Horwath, C. *J. Chromatogr.*, **1986**, *359*, 255), and with  
columns containing mixes of both anion and cation exchange sorbents (Maa, Y.F.;  
Antia, F.; El Raasi, Z.; Horwath, C. *J. Chromatogr.*, **1988**, *452*, 331). Protein  
separations on mixed cation and anion exchange media have also been carried out  
10 on stacks of alternating cation and anion exchange membranes, spaced by neutral  
membranes (Freitag, R.; Splitt, H; Reif, O.-W., *J. Chromatogr.*, **1996**, *728*, 129-37).

Chromatographic techniques utilizing zwitterionic moieties in the stationary phase  
or in the eluent have gained interest since 1981, when Knox and Jurand introduced a  
15 technique where quadropolar ion-pairs could be formed when 11-amino undecanoic  
acid was added to the eluting solution as a "zwitterion-pair agent" (Knox, J. H.;  
Jurand, J. a) *J. Chromatogr.* **1981**, *203*, 85-92; b) *J. Chromatogr.* **1981**, *218*, 341-  
354; *J. Chromatogr.* **1981**, *218*, 355-363; *J. Chromatogr.* **1982**, *234*, 222-224).

This concept was seen to improve the retention of various nucleotides and  
20 oligopeptides comprising up to three amino acids in reversed phase  
chromatography.

Kurganov and co-workers (Kurganov, A. A.; Davankov, V. A.; Unger, K.K. *J.*  
*Chromatogr.* **1991**, *548*, 207-214) were able to separate acidic and/or basic proteins  
25 using a stationary phase which contained both sulfonic acid and quaternary  
ammonium groups. This mixed mode sorbent, incorrectly termed as being a  
zwitterionic sorbent in said paper, was prepared by introduction of sulfonic acid and  
quaternary ammonium groups by sequential chloromethylation, sulfonation and  
trimethylamination of a styrene layer superficially polymerized onto silica. This will  
30 result in ion exchange groups of different charge residing on separate phenyl

moieties in the superficial layer, and on p. 212 of said paper, two important aspects are disclosed, that clearly distinguish this mixed mode sorbent from a true zwitterionic sorbent, as disclosed in this document, namely: a) "The result reveal that the ion exchanger contains cationic groups in excess of anion exchange groups" and b) "The peak of lysozyme (last eluting peak) is relatively broad, even at the high flow rate used for elution. It seems that this broadening is due to the mixed-mode interactions between lysozyme and the exchanger."

Another example of a mixed mode sorbent is by Nomura and co-workers (Nomura, A.; Yamada, J.; Tsunoda, K. *Anal. Chem.* **1988**, *60*, 2509-2512), who reported the preparation of a silica-based HPLC stationary phase onto which amino-containing compounds and carboxy-containing groups, respectively, are independently immobilised. The suitability of this, in reality amphoteric, sorbent for protein separation was also explored. However, no satisfactory results were obtained as some proteins were very strongly adsorbed, and accordingly they were very difficult to elute.

Among the first polymeric carriers intentionally designed to contain a mixture of anion and cation exchange resins were so-called "snake cage resins", made by polymerizing an acrylic acid "snake" that had been carefully equilibrated with an anion exchange resin (the "cage") in order to obtain a stoichiometry between the resulting cation and anion exchange sites (Hatch, M.J.; Dillon, A.; Smith, H.B. *Ind. Eng. Chem.*, **1957**, *49*, 1812). The resulting sorbents were used as "ion retardation resins", e.g., for desalting of sugar syrup. Although conceptually a 1:1 stoichiometry should be obtained, there were problems manufacturing these sorbents without net ion exchange properties, which are believed to be due to uneven "patchy" distribution of the ion exchange groups in the sorbent (Small, H. *Ion Chromatography*, Plenum Press: New York, **1989**, pp. 133-134).

Yu et al. (a) Yu, L. W.; Hartwick, R. A. *J. Chromatogr. Sci.* **1989**, 27, 176-185; b) Yu, L. W.; Floyd, T. R.; Hartwick, R. A. *J. Chromatogr. Sci.* **1986**, 24, 177-182) described the preparation of a chemically bonded zwitterionic silica sorbent, and also showed its potential for the separation of nucleotides. No satisfactory results  
5 regarding separation of proteins have been reported for this material. In a large number of papers, Hu and co-workers (a) Hu, W.; Takeuchi, T.; Haraguchi, H. *Anal. Chem.* **1993**, 65, 2204-2208; b) Hu, W.; Tao, H.; Haraguchi, H. **1994**, 66, 2514-2520) have conducted studies where commercial octadecyl silica columns have been dynamically coated with commercial zwitterionic surfactant reagents.

10 According to their strategy, the zwitterionic surfactant reagents are non-covalently adsorbed to the columns. Using this strategy, simultaneous separation of inorganic cations and anions could be achieved using pure water as the mobile phase. One important reason for using water as the mobile phase is to minimise washing away zwitterionic surfactant during separations. The surfactant has in some cases been  
15 included in the eluting solution in order to replace detergents that are continuously washed away from the ODS-column during separations. Hu claims to have separated purified alpha-amylase from saliva on such detergent-modified hydrophobic silica. However, it is important to note that this enzyme passed through the column without retardation as in gel filtration for desalting purposes, and they  
20 interpreted their results as being solely due to a size exclusion effect (US 5,589,069; Col. 12, Line 29-31). No other protein was assayed simultaneously, which means that it has not been clarified if the detergent-based dynamic modification method of Hu has the ability of separating different proteins. Finally, it is also important to point out that it is unacceptable in the pharmaceutical industry to use a separation  
25 column where part of the column material is leaking out together with compounds that are to be used in pharmaceutical preparations.

Polyzwitterions synthesised from zwitterionic monomers have mostly been studied in the field of polymer chemistry because of their fascinating rheological behaviour (Soto, M.; Galin, V. M. *Polymer*, **1984**, 25, 254; Schulz, D. N.; Peiffer, D. G.;  
30 Agarwal, P. K.; Larabee, J.; Kaladas, J. J.; Soni, L.; Handwerker, B.; Garner, R. T.

*Polymer*, 1986, 27, 1734-1742; Huglin, M. B.; Rego, J. M. *Macromolecules*, 1991, 24, 2556-2563) rather than being utilized for chromatographic separation purposes. The most intensively studied class of zwitterion polymers is prepared from monomers with sulfobetaine functionalities, in which the cationic functionality (a quaternary ammonium group) and the anionic functionality (a sulfonate group) are incorporated in close proximity in pendant side chains on the main polymer chain, and it is thus possible to obtain a polymer with zero net charge. It is assumed that the solution behavior of non-crosslinked polyzwitterions is a result of Coulomb interactions between charged groups, and the electrolyte concentration in the surrounding aqueous media will thus have a great influence on the polymer solubility (Soto, M.; Galin, V. M. *Polymer*, 1984, 25, 254; Schulz, D. N.; Peiffer, D. G.; Agarwal, P. K.; Larabee, J.; Kaladas, J. J.; Soni, L.; Handwerker, B.; Garner, R. T. *Polymer*, 1986, 27, 1734-1742; Huglin, M. B.; Rego, J. M. *Macromolecules*, 1991, 24, 2556-2563). In the field of zwitterionic polymeric sorbents, Grote and Schumacher (Grote, M.; Schumacher, U. *React. Funct. Polym.*, 1997, 35, 179-196) have prepared a series of sorbents containing tetrazolinium anion exchange groups, one of which also contained a benzenesulfonic acid group attached to the tetrazolinium ring, thus comprising a zwitterionic group. This sorbent was used for recovery of precious metals.

#### Summary of the invention

It has now turned out that by using porous sorbent carriers as stationary phase in a chromatographic separation process, wherein the core of said sorbent carriers consists of an organic resin, and wherein said sorbent carriers are comprised of a polymer or copolymer comprising monomer units containing zwitterionic non-aromatic groups throughout its structure or comprising zwitterionic non-aromatic groups covalently grafted or bonded on its surface as pendant moieties, it is possible to carry out ion exchange chromatography separations of biological macromolecules such as proteins using very mild and non-denaturing conditions.

### Brief description of the drawings

**Figure 1** shows schematic representations of A) the activation and functionalization reactions carried out according to Examples 2-4 below and B) a zwitterionic functionalization reaction based on chemical reactions known to those skilled in the field, that will result in zwitterionic sorbents useful for practising the invention;

**Figure 2 a and b** show scanning electron micrographs of a photopolymerized zwitterionic monolith;

**Figure 3** presents back pressure vs. flow rate in water for SPE-copoly-TEGDMA based monoliths prepared using varying SPE:TEGDMA ratios;

**Figure 4** discloses the dependency of lysozyme retention on the mobile phase ionic strength for a SPE-copoly-TEGDMA monolith;

**Figure 5** shows the purification of a partly purified protein extract containing an unknown amount of biologically active antibacterial peptides;

**Figure 6** relates to purification the synthetic peptides A (upper trace) and B (lower trace), as disclosed in Example 10 below;

**Figure 7** shows a separation of myoglobin (1), ovalbumin (2), cytochrome C (3) and lysozyme (4) on a zwitterionic column according to the present invention;

**Figure 8** presents separation of a 5  $\mu$ L injection of a protein mixture containing 0.5 mg/mL each of (from left to right) myoglobin,  $\alpha$ -chymotrypsinogen A, cytochrome C and lysozyme on column according to the invention; and

**Figure 9** shows the separation of the proteins ovalbumin (1), conalbumin (2),  $\alpha$ -chymotrypsinogen A (3) and cytochrome C (4) on a grafted monolith according to the invention.

### Definitions

As disclosed herein, the term "sorbent" relates to a material with selective sorption properties that can be used as a stationary phase in chromatographic separations.

As disclosed herein, the composite term "sorbent carrier" relates to a material with mechanical and flow dynamic properties that make it suitable for use as supporting structure for a stationary phase in chromatographic separations.

- 5 As used herein, the term "porous monolithic sorbent carrier" relates to a structure comprising pore channels suitably sized for use as sorbent carrier in ion exchange chromatography and high performance liquid chromatography (HPLC) processes.

- As disclosed herein, the term "organic resin" refers to an organic polymer or  
10 copolymer of synthetic or natural origin comprising mono- or oligovinyl monomer units such as styrene and its substituted derivatives, acrylic acid or methacrylic acid, alkyl acrylates and methacrylates, hydroxyalkyl acrylates and methacrylates, acrylamides and methacrylamides, vinylpyridine and its substituted derivatives, divinylbenzene, divinylpyridine, alkylene diacrylate, alkylene dimethacrylate,  
15 oligoethylene glycol diacrylate and oligoethylene glycol dimethacrylate with up to 5 ethylene glycol repeat units, alkylene bis(acrylamides), piperidine bis(acrylamide), trimethylolpropane triacrylate, trimethylolpropane trimethacrylate, pentaerythriol triacrylate and tetraacrylate, and mixture thereof. It also relates to carbohydrate  
20 polymers such as agarose, cellulose, dextran, chitosan, and crosslinked derivatives thereof. As disclosed in more detail below, such organic resin constitutes the core member of the sorbent of the present invention.

- As disclosed herein, the term "zwitterionic non-aromatic group" relates to an attached ionic functional non-aromatic group comprising simultaneous positive and  
25 negative charges in the same pendant moiety, resulting in lack of net charge under the conditions prevailing during its use. Such groups can exist as monomeric units directly attached to the backbone polymer, or as linear or crosslinked polymeric or copolymeric layers comprised at least partly of non-aromatic zwitterionic monomer units, resulting in multiple zwitterionic non-aromatic groups in each attached moiety  
30 pendant to the backbone polymer. Zwitterionic non-aromatic groups are distinguished as "strong" or "weak" depending on whether the ionic non-aromatic



group is capable of undergoing a dissociation or protonation equilibria in the aqueous pH range applicable to separation of biological macromolecules. Examples of strong ionic non-aromatic groups are sulfonic acid and quaternary ammonium groups, whereas examples of weak ionic groups are carboxylic acid and alkyl- or hydroxyalkylamine. Examples of strong/strong zwitterionic non-aromatic groups are sulfoalkylammonobetaines, sulfoalkylarsenobetaines, phosphonoalkylammonobetaines, and phosphonoalkylarsenobetaines. Weak/strong non-aromatic zwitterions comprise one strong and one weak charge, which means that the net charge can be either zero, in which case the group is zwitterionic, or positive or negative, depending on whether the weak group is protonated or is dissociated into an anionic group, or exists in its neutral form. Weak/weak zwitterionic groups can be exemplified by a) neutral side chain  $\alpha$ -amino acids attached through alkylative coupling with the  $\alpha$ -amino group, or b)  $\alpha$ -protected amino acids attached through a reactive group residing on the side chain producing an uncharged covalent bond, after which the  $\alpha$ -amino group is deprotected; both cases constituting amphoteric pendant moieties that can have positive, negative or no net charge, depending on the surrounding pH. In the state of no net charge, these groups which are dissociable or capable of being protonated exist in an equilibrium between doubly oppositely charged zwitterions and the neutral/neutral, non-zwitterionic form. Examples of vinylic monomers with covalently attached strong-strong non-aromatic zwitterionic groups are 3-(2-acrylamido-2-methylpropanedimethylammonio)-1-propanesulfonate, 4-(2-acrylamido-2-methylpropanedimethylammonio)-1-butanesulfonate, 2-Methacryloyloxyethyl phosphorylcholine, 4-[(2-acrylamido-2-methylpropyl)dimethylammonio]butanoate, and 3-[N-decyl,N-(2-methacryloyloxyethyl)N-methyl]ammoniopropanesulfonate. In the following, such polymerizable monomers comprising non-aromatic zwitterionic groups are referred to herein as "zwitterionic monomers". Likewise, a porous sorbent according to the present invention comprising non-aromatic zwitterionic groups is referred to herein as a "porous zwitterionic sorbent".

Detailed description of the invention

Thus, more specifically, the present invention relates to a novel sorbent, which is especially suitable for use as a stationary phase in a chromatography column. The core of said sorbent consists of an organic polymer carrier of synthetic or natural origin and said carrier exhibits a plurality of covalently bonded non-aromatic zwitterionic groups on the surface thereof. The choice of polymer/copolymer is not critical, and accordingly it is possible to carry out the invention using many different kinds of organic resins. The electrostatic barrier presented by dense zwitterionic functionalization of the surface shields the underlying carrier from interactions with biological macromolecules. The role of the organic resin is thus mainly to act as a mechanically stable carrier for the non-aromatic zwitterionic groups of the present invention. The choice of polymer/copolymer is therefore not important, as long as the selected organic resin has reactive groups available on the surface that will enable attachment using any of the chemistries demonstrated in the examples below. Accordingly, it is possible to carry out the invention by attaching non-aromatic zwitterionic pendant moieties to many different kinds of organic resins.

In a particular embodiment of the invention, a non-aromatic zwitterionic monomer can also be included among the monomers constituting the organic resin sorbent carrier, which thus becomes a porous zwitterionic sorbent *per se*.

In a specific embodiment thereof, the sorbent carrier according to the invention is porous. More specifically, the pore diameters may range from 0.01 to 10  $\mu\text{m}$ , most preferably having a significant fraction of the pores in the size range from 0.5 to 5  $\mu\text{m}$ , in order to provide a bulk flow path for the eluting solution.

In one embodiment of this first aspect of the invention, the zwitterionic non-aromatic groups have been bound to the surface of the carrier by any suitable method of polymerisation known to those of skill in the field, preferably by graft

polymerisation, of monomers comprising non-aromatic zwitterionic groups. In a particular embodiment, the zwitterionic non-aromatic groups have been incorporated throughout the structure of the carrier sorbent by polymerising monomers comprising non-aromatic zwitterionic groups together with suitable crosslinking monomers easily chosen by someone skilled in the field. More specifically, the zwitterionic non-aromatic groups may have been bound to the carrier by activation with an alkylating functional group, which is subsequently reacted with a  $\omega$ -dialkylaminoalkylsulfonic acid to form non-aromatic zwitterionic groups on the carrier; likewise, surface-attached non-aromatic zwitterionic pendant groups can be obtained through incorporation of a dialkyl amine, optionally containing hydroxyl groups in either or both alkyl substituents, onto a suitably activated sorbent carrier, followed by reaction with an alkyl sulfone, using reactions known to those of skill in the field. In a particular embodiment, the sorbent carrier is a porous polymeric monolith. In the present context, it is to be understood that the term "a zwitterionic non-aromatic group" relates to a functional group attached to the organic resin carrier as a single identifiable pendant moiety, said functional group being characterized in containing both a negative and a positive ionic charge, incorporated on the organic resin carrier either through a reaction encompassing an existing functional group on the organic resin carrier, directly or after activation, or by polymerizing a monomer containing a functional group with these properties onto the carrier. In another embodiment of the first aspect of the invention, the sorbent carrier is characterised in that the surface of the organic resin has been activated by incorporation of a suitable reactive functional group, such as epoxy, or halogenoalkyl, such as chloroalkyl or bromoalkyl and that is capable of alkylating the amino group of an aminoalkylsulfonic acid in a reaction producing covalently bonded zwitterionic non-aromatic groups on the sorbent carrier.

In an advantageous embodiment of the invention, the zwitterionic groups resulting from this reaction are  $\omega$ -sulfoalkyl-trialkylammonio (sulfobetaine) groups, where at least one of the alkyl substituents of the ammonio group is covalently bonded to the

sorbent carrier, and where any or both of the remaining alkyl group can carry a hydroxyl function.

Further, analogous to Example 3 below and using chemical reactions well known to those skilled in the field, the incorporation of a zwitterionic group onto a sorbent carrier can also be accomplished by incorporating a dialkyl amine, optionally containing hydroxyl groups in either or both alkyl substituents, onto a suitably activated sorbent carrier such as, e.g., that produced in Example 2, see below, using known reactions. The sorbent carrier thus functionalized with a disubstituted amine is thereafter reacted with an alkyl sultone, to accomplish a quarternizing sulfoalkylation of the substituted amine. This reaction results in formation of sulfobetaine zwitterions that are attached to the sorbent carrier as pendant moieties, as represented in Figure 1B. These are the reactions that have been used to produce the zwitterionic monomers used in Examples 5, 6 and 8 presented below to prepare zwitterionic sorbents, whose utility in the separation of biological macromolecules are demonstrated in Examples 9, 10, 12 through 14 below.

Accordingly, the present invention relates to a novel sorbent, which due to the advantageous zwitterionic groups is superior to the prior art methods. More specifically, as compared to the works cited above, it is apparent that in the prior art, alongside anion exchange and cation exchange, there exists a practice termed mixed mode ion exchange, which can be used for separation of proteins. However, due to spatial separation between the cationic and anionic groups, and the difficulty of achieving a stoichiometric balance between said ionic groups, mixed mode ion exchange sorbents are inferior to a true zwitterionic sorbent according to the present invention, wherein the anion and cation exchange groups are incorporated in close proximity on the same pendant functional group.

In accordance with a further observation made by the present inventors, the low retention seen in Example 12 below for the acidic proteins can be due to steric hindrance between large molecules and the zwitterionic sorbent, limiting the access

to the quaternary ammonium groups situated in the attaching chains of the pendant zwitterionic moieties reaching into solution. The result will conceptually be an “overexpression” of the cation exchange properties of the zwitterionic group, as the functional group protruding into the solution is the sulfonic acid. Thus, in an additional embodiment of the invention, zwitterionic pendant moieties having their ionic groups attached to the polymeric backbone through linkage with a hydroxyl group residing on the alkyl spacer connecting the anion and cation exchange groups of the zwitterion, may advantageously be used. These “laterally attached” zwitterionic separation materials will be prepared from new zwitterionic monomers, which may be synthesized by quarternization of aminoalkylsulfonic acid type zwitterionic biological buffers having a hydroxyl or similar reactive group in the alkyl spacer interconnecting the ionic groups (for a listing of suitable substrates see, e.g., Sigma Chemical Company, St. Louis, USA; 1995 Catalog, p. 1685-1691), followed by covalently connecting a vinylic monomer to the zwitterionic intermediate through the reactive group in the interconnecting alkyl chain, based on the formation of linkage such as an amide, ester, ether, according to principles known to those skilled in the field. Polymerization of this monomer either as a copolymer or in a grafting process will result in a zwitterionic sorbent. In this sorbent the pendant zwitterionic moiety will be attached to the polymeric carrier, not through the quaternary ammonium group, but through the reactive group in the alkyl chain interconnecting the ionic groups, thereby simultaneously exposing both ionic charges of the pendant zwitterionic group to the external solution in a lateral fashion. Accordingly, applying similar covalent attachment chemistries, zwitterionic intermediates may be attached in a lateral fashion to porous polymer substrates having functional groups suitable for forming a covalent link with a reactive group in the alkyl spacer interconnecting the ionic groups of the zwitterion.

In second aspect, the present invention relates to a method for purifying a particular biological macromolecule, such as a protein or a nucleic acid, by zwitterionic ion exchange chromatography, comprising the steps of

- a) determining the appoximative net charge of the biological macromolecule in aqueous solution as a function of the pH of said solution;
- b) using the information obtained in step a) for choosing a pH and an ionic strength at which the macromolecule obtains an interaction of an appropriate strength with a zwitterionic ion exchange column;
- c) using the information obtained in step b) for choosing a pH and an ionic strength of the isocratic eluting solution or the eluting solution gradient composition with which the macromolecule is eluted;
- d) applying a solution containing said biological macromolecule to a separation column comprising zwitterionic sorbent carriers, said solution having a pH and an ionic strength that have been chosen in step b);
- e) eluting the separation column in step d) with an elution solution whose pH and ionic strength have been chosen in step c); and
- f) recovering said biological macromolecule.

Most preferably, the zwitterionic sorbent carriers are any of the advantageous embodiments of the invention as defined above.

In a particular embodiment of the present method, the maximum ionic strength used is 0.25 M. Those skilled in this field may easily choose a suitable value depending on the prevailing conditions at the time.

As regards the solvent in the elution solution, it may advantageously consist of water with less than 10% admixture of an organic solvent, which is chosen as appropriate by someone with skills in this field.

In a further aspect, the present invention relates to an ion exchange column suitable for use in zwitterionic ion exchange chromatography comprising a sorbent carrier according to the present invention.

In summary, the present invention discloses three fundamentally different ways for the preparation of porous polymeric sorbents materials with zwitterionic functional groups existing as separately identifiable pendant entities on their surface, and demonstrates the utility of these sorbent materials in a novel separation column chromatographic process for analysis and purification of biological macromolecules. The three different synthesis routes according to the invention are; a) direct co-polymerization of zwitterionic monomers yielding zwitterionic sorbents; b) incorporation of zwitterionic groups onto existing porous polymeric sorbent carriers (two different routes are devised); and c) graft polymerization of zwitterionic monomers onto sorbent carriers. Zwitterionic sorbents prepared according to all three routes are capable of separating biological macromolecules under particularly mild and totally aqueous conditions based upon electrostatic interactions, as exemplified for many different proteins and peptides.

#### Detailed description of the drawings

The present invention will now be described with reference to the enclosed figures in which:

**Figure 1** shows schematic representations of A) the activation and functionalization reactions carried out according to Examples 2-4 below and B) a zwitterionic functionalization reaction based on chemical reactions known to those skilled in the field, that will result in zwitterionic sorbents useful for practising the invention;

**Figure 2 a and b** shows scanning electron micrographs of a photopolymerized zwitterionic monolith comprising non-aromatic zwitterionic groups (at two different magnifications denoted 2a and 2b, indicated by the bar in each picture) prepared from 3 parts of monomer containing 43% SPE and 57% TEGDMA, mixed with 7 parts of methanol and 1 % benzoin methyl ether (with respect to the weight of the monomers), photopolymerized at 360 nm for 1 hour;

**Figure 3** presents back pressure vs. flow rate in water for SPE-copoly-TEGDMA based monoliths prepared using varying SPE:TEGDMA ratios, as disclosed in Example 5 below;

**Figure 4** discloses the dependency of lysozyme retention on the mobile phase ionic strength for a SPE-copoly-TEGDMA monolith prepared according to Example 6 below;

**Figure 5** shows the purification of a partly purified protein extract containing an unknown amount of biologically active antibacterial peptides on a column as described in Example 9 below;

**Figure 6** relates to purification the synthetic peptides A (upper trace) and B (lower trace), as disclosed in Example 10 below;

**Figure 7** shows a separation of myoglobin (1), ovalbumin (2), cytochrome C (3) and lysozyme (4) on the zwitterionic column according to Example 11 below;

**Figure 8** presents separation of a 5  $\mu$ L injection of a protein mixture containing 0.5 mg/mL each of (from left to right) myoglobin,  $\alpha$ -chymotrypsinogen A, cytochrome C and lysozyme on column as described in Example 12 at 1 ml/min flow rate of the eluent (a linear gradient from 0.5 to 2.5 mM phosphate buffer, pH 7) in 9 minutes using UV spectroscopic detection at 280 nm; and

**Figure 9** shows the separation of the proteins ovalbumin (1), conalbumin (2),  $\alpha$ -chymotrypsinogen A (3) and cytochrome C (4) on the grafted monolith prepared according to Example 8; and practiced according to Example 13.

## EXPERIMENTAL

### Materials and methods

The following general methods and materials were used in the experimental work described below:

#### a) Reagents and Solutions

The zwitterionic monomer *N,N*-dimethyl-*N*-methacryloxyethyl-*N*-(3-sulfopropyl) ammonium betaine (SPE) was obtained from Raschig AG, Germany, and was used



without further purification. Ethylene dimethacrylate (EDMA, 90%) was purchased from Fluka AG, Buchs, Switzerland, while triethylene glycol dimethacrylate (TEGDMA, 95%) and benzoin methyl ether (99%) were obtained from Aldrich, Steinheim, Germany, and the methanol was of analyzed HPLC grade (J.T Baker, Deventer, Holland).

Proteins used as probes in the chromatographic experiments were all purchased from Sigma. The synthetic peptides used to produce Figure 8 were specified as having molecular weights of 2712 g/mol (*a*) and 2669 g/mol (*b*), and the peptide purities were determined by HPLC to > 95 % and > 97 %, respectively. The sequences of the peptides differ only with respect to one amino acid (phenylalanine and cysteine, respectively) underlined in the sequences below:

Ala-Gly-Thr-Lys-Pro-Gln-Gly-Lys-Pro-Ala-Ser-Asn-Leu-Val-Glu-Phe-Val-Phe-Ser-Leu-Phe-Lys-Lys-Cys-Asn [a]  
Ala-Gly-Thr-Lys-Pro-Gln-Gly-Lys-Pro-Ala-Ser-Asn-Leu-Val-Glu-Cys-Val-Phe-Ser-Leu-Phe-Lys-Lys-Cys-Asn [b]

The biological peptide preparation used to prepare Figure 9 was obtained as a crude extract from the Laboratory of Microbial Gene Technology, NLH, Ås, Norway, and was roughly purified using a Sephadex cation exchanger (Pharmacia) followed by hydrophobic interaction purification. The sample was finally obtained in an aqueous 70 % ethanol solution.

#### b) Photopolymerization

The poly(SPE-*co*-TEGDMA and the poly(SPE-*co*-EDMA) monoliths were generally prepared by dissolving the SPE monomer and the benzoin methyl ether (photoinitiator) in methanol, followed by addition of the crosslinker (TEGDMA or EDMA). Each polymerization mixture was sonicated for 10 minutes and purged with helium for 10 minutes. The glass columns (150 or 250 mm long by 2.3 mm i.d.) were surface treated with a silanization procedure to produce pendant 3-methacryloyloxypropyl anchoring groups attached to the inner surface. The photopolymerizations were carried out with the sealed glass columns positioned vertically for 60 minutes using a Spectrolinker XL 1500 UV (Spectronics Corp.,

Westbury, NY) with eight 15 W fluorescent blacklight tubes (F15T8/BLB; GTE  
sylvania), producing UV light of predominately 365 nm wavelength. Reference  
samples for SEM analysis were polymerized in polypropylene tubes (4 mm i.d.) for  
comparison purposes.

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After completion of the polymerization, each column was furnished with fittings,  
connected to an LC system and soluble compounds still remaining in the monolith  
were washed out using water as the mobile phase.

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#### c) Chromatography

Chromatography of proteins was carried out using an HPLC system consisting of  
two LDC (Laboratory Data Control, Riviera Beach, FL) Constrametric pumps and  
an LDC Constrametric variable wavelength UV detector. The samples were injected  
through a Rheodyne (Cotati, CA) loop injector with internal wetted parts made  
from poly(ether-ether-ketone) and data were collected using a Hewlett Packard  
(Palo Alto, CA) HP3396A integrator. Separations of model proteins were carried  
out at according to the conditions indicated in each Figure.

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Peptide purification chromatography was carried out using a Spectra-Physics  
(Mountain View, CA) SpectraSYSTEM P400, provided with a Spectrafocus  
forward optical scanning detector.

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#### d) Characterization of the Zwitterionic Polymer

Prior to scanning electron microscopy study, the polymer samples were placed on  
sticky carbon foils which were attached to standard aluminum specimen stubs. The  
samples were coated with approximately 20 nm of gold by using a combination of  
sputter coating (Edwards S150A Sputter Coating Unit, Edwards High Vacuum,  
incorporating an automatic tilting and rotation device). Microscopic analysis of all

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samples was carried out in a S-360 iXP SEM (Leica Cambridge Ltd., Cambridge, UK) operated in LaB<sub>6</sub>-mode, 5kV, 100 pA probe current and 0° tilt angle.

The sulfur and nitrogen contents were determined by elemental analysis of crushed samples using a Leco SC 432 (Leco, St. Joseph, MI) in order to verify the compositions of the zwitterionic polymers.

The present invention will now be further described with reference to the enclosed examples, which are included for the purpose of illustration and are not intended to limit the scope of the appended claims. All references cited below or elsewhere in this application are hereby included herein by reference.

#### EXAMPLE 1: SYNTHESIS OF 2-DIMETHYLAMINOETHANESULFONIC ACID (DMAES)

2-Bromoethylsulfonic acid sodium salt (10.88 g; 0.05 mol) was dissolved in 100 mL of water in a 250 mL E-flask. Dimethylamine (12.40 g; 0.11 mol) was added to above solution, and the mixture was allowed to stand for 45 minutes at room temperature and then reacted at 70-80 °C for 18 hours under refluxing conditions. After cooling the solution to 40 °C, approx. 2 g granulated charcoal was added, and the mixture was boiled for 15 minutes without a refluxing condenser. The mixture was cooled to room temperature, the charcoal was allowed to settle and the supernatant solution was thereafter filtered (Whatman GF/A) under weak suction. Further purification was carried out by letting the solution pass through a 150 mm x 40 mm i.d. glass column packed with Dowex 350 UPN (Dow Chemical, Midland, MI) sulfonic acid strong cation exchanger in the H<sup>+</sup> form at a flow rate of approx. 2 mL/min. The purified solution was precipitated twice from boiling water/ethanol and dried at 50 °C for 24 hours in a vacuum oven. The purity of the DMAES was determined by <sup>1</sup>H NMR (400 MHz, Bruker) using D<sub>2</sub>O as solvent ( $\delta$ =2.3 ppm [2H, -CH<sub>2</sub>-];  $\delta$ =2.5 ppm [2H, -CH<sub>2</sub>-];  $\delta$ =1.9 ppm [6H, (CH<sub>3</sub>)<sub>2</sub>-N]).

## EXAMPLE 2: ACTIVATION OF HYDROXYETHYL FUNCTIONAL

### PARTICLES WITH EPOXY GROUPS

Five grams of 12  $\mu\text{m}$  diameter Spheron 300 crosslinked porous polymer beads (a product based on 2-hydroxyethyl methacrylate as active groups; purchased from Chemapol, Brno, Czech Republic) were suspended in 30 mL of 50 % aqueous NaOH in a 100 mL ground-neck flask and stirred for about 1 hour at room temperature until a uniform suspension was obtained. The resulting suspension was kept for 18 hours below 10 °C, whereafter ten milliliters of dioxane was added to the flask under slow stirring for 30 minutes at room temperature. A mixture of 25 mL epichlorohydrin and 15 mL dioxane was then filled into the flask, and the activation was allowed to take place under slow stirring for 2 hours at 40 °C, then for an additional 2 hours at 60 °C. The thus activated particles were filtered on a glass filter and washed to neutral conditions with a large quantity of Milli-Q water, then with methanol (3x100 mL), acetone (3x100 mL), and finally dried for 18 h at 40 °C in a vacuum oven.

## EXAMPLE 3: ZWITTERIONIC FUNCTIONALIZATION OF EPOXY

### ACTIVATED HYDROXYETHYL PARTICLES

Figure.1A relates a schematic representation of the functionalization reaction disclosed in this Example, based on activation of a hydroxyl-containing sorbent carrier according to Example 2. To practice this principle, DMAES (2 g; 0.013 mol; prepared according to Example 1) was dissolved in 20 mL of aqueous 0.2 mM phosphate buffer (pH 8) in a 50 mL glass tube. The mixture was thereafter adjusted to pH 8 with 5 M NaOH under stirring. Two grams of epoxy activated polymer beads prepared according to Example 2 were added to the solution under slow stirring and reacted at 50 °C for 90 hours. The reacted beads were thereafter washed with water, methanol, and acetone on a glass filter under weak suction, and finally dried at 50 °C for 18 h in a vacuum oven. These particles were subsequently packed in a 150 mm long by 4 mm i.d. and column used for protein separation, as disclosed in Example 11.

EXAMPLE 4: ZWITTERIONIC FUNCTIONALIZATION OF POLYMER  
PARTICLES PREPARED WITH AN EPOXY-CONTAINING CO-MONOMER

The reaction in this Example can be schematically represented by the

functionalization reaction shown in the second part of Figure 1A, and was practised

on porous polymer particles prepared from a monolith precursor mixture containing

24 % (w/w) 2,3-epoxypropyl methacrylate (GMA), 16 % ethylene dimethacrylate

(EDMA), 54 % cyclohexanol and 6 % 1-dodecanol. To this mixture, which had

been deaerated by purging with helium for 10 minutes, was added 0.4 % (w/w)  $\alpha,\alpha'$ -

azoisobutyronitrile shortly before polymerization, which took place in sealed glass

tube molds at 60 °C for 16 hours. The resulting monolithic polymer structure was

thereafter removed from the mold by breaking the glass, cut in cubic pieces of

approximately 2-3 mm sides, and then Soxhlet extracted with methanol for 24

hours. The extracted monolith pieces were dried, carefully ground in a mortar and

thereafter dry sieved. The particle fraction smaller than 200 mesh (74  $\mu\text{m}$ ) was used

for functionalization according to the procedure described in Example 3, with the

exception that the reaction temperature was 40 °C. The apparent hydrophilicity of

the particles thus produced was evaluated by wetting the material with water, and it

was concluded that the material has a pronounced hydrophilic character after

functionalization with zwitterionic groups, compared to the distinct hydrophobic

behaviour of the epoxy-containing starting material that had not been reacted with

DMAES. Elemental analysis, presented in Table 1, revealed that nitrogen and sulfur

were bound to the carrier in the appropriate 1:1 stoichiometric ratio. The material

was thus zwitterionic, and the amount of zwitterionic groups incorporated increased

as function of the reaction time.

**Table 1** showing the effect of reaction time on the nitrogen and sulfur contents of zwitterionic particles prepared from a ground and sieved porous GMA-copoly-EDMA monolith, functionalized with DMAES according to Example 4.

Reaction time h	% N <sub>EA</sub> <sup>a)</sup>	% S <sub>EA</sub> <sup>a)</sup>	% S <sub>calc.</sub> <sup>b)</sup>	% S <sub>EA</sub> :% S <sub>calc.</sub> <sup>c)</sup>
40	0.16	0.37	0.37	1.00
90	0.22	0.49	0.50	0.98

A) Percent nitrogen determined by elemental analysis; b) percent sulfur determined by elemental analysis; c) ratio of percent sulfur determined by elemental analysis to the percentage needed to fulfil a 1:1 stoichiometric n:s ratio. An elemental analysis was also made after 20 hours of reaction, but due to the low amount of groups incorporated after this short reaction time, the value for the % S<sub>e.a.</sub>:% S<sub>calc.</sub> ratio became erroneous.

#### EXAMPLE 5: ZWITTERIONIC MONOLITHIC SORBENT BY DIRECT PHOTOCOPOLYMERIZATION OF SPE WITH TEGDMA

N,N-dimethyl-N-methacryloxyethyl-N-(3-sulfoethyl)-ammonium betaine (SPE) is a crystalline zwitterionic monomer that dissolves readily in water but is insoluble in the crosslinking monomers EDMA and triethyleneglycol dimethacrylate (TEGDMA), and also in most organic solvents known to have been used as porogens in suspension or mold polymerizations. On the other hand, the crosslinking monomers EDMA and TEGDMA are insoluble in water, which presented a compatibility problem in the search for a pore forming solvent capable of dissolving SPE and the crosslinker simultaneously, as well as yielding a macroporosity in the final polymer. We eventually discovered that methanol can serve as solvent for all components included in the mold without risking compatibility problems due to precipitation.

Based on this discovery, a series of SPE-copoly-TEGDMA monoliths were prepared by dissolving the water soluble SPE monomer and 0.1 g of the photoinitiator benzoin methyl ether in 7.0 grams of methanol, followed by addition of TEGDMA. The total weight of SPE and TEGDMA was 3.0 grams in all experiments, while the SPE:TEGDMA weight ratios were 13:87, 23:77, 33:67 and 43:57 in the four different preparations. These mixtures were treated individually in an ultrasonic bath (Bransonic 221) for 10 minutes and thereafter purged with a low

flow of helium gas for 10 minutes to remove dissolved oxygen. The degassed mixtures were then filled into separate glass columns (50 or 250 mm long by 2.3 mm i.d.), the inner surfaces of which had been treated with 3-methacryloyloxypropyl trimethoxysilane according to known procedures to obtain polymerizable anchoring groups on the surface. The contents of the columns was then subjected to photopolymerization for 60 minutes by positioning the sealed columns vertically inside a Spectrolinker XL 1500 UV (Spectronics Corp., Westbury, NY) with eight 15 W fluorescent blacklight tubes (F15T8/BLB; GTE Sylvania) as radiation source. Reference samples for SEM analysis were polymerized in 4 mm i.d. polypropylene tubes in a similar manner and used for comparison purposes. After completion of the polymerization, each column was furnished with fittings, connected to an LC system and soluble compounds still remaining in the monolith were washed out with water, whereafter the columns were ready for evaluation as separation media for biological macromolecules, as disclosed in Example 12.

The zwitterionic monolithic sorbents obtained after copolymerization of SPE with TEGDMA were characterized by high levels of porosity and high flow permeabilities. SEM micrographs revealed that a macroporous structure was formed, comprising wide pore channels traversing clusters of nearly spherical units with estimated diameters ranging between 1 to 3  $\mu\text{m}$ ; cf. Figure 2. The high flow permeability of the porous SPE-copoly-TEGDMA based zwitterionic monoliths (cf. Figure 3) combined with their apparent hydrophilicity indicated their suitability for chromatographic separation of large biomolecules.

#### EXAMPLE 6: ZWITTERIONIC MONOLITHIC SORBENT BY DIRECT PHOTOCOPOLYMERIZATION OF SPE WITH EDMA

Monolithic separation columns were prepared largely according to the procedure described in Example 5, with the significant exception that EDMA was substituted for TEGDMA as crosslinking agent. The polymerization cocktail thus used contained 32 percent by weight of a mixture of 47 % SPE and 53 % EDMA (w/w) mixed with 68 weight percent of methanol, with 1 % benzoin methyl ether (with respect to the weight of the monomers) added. The retention time for the basic and

hydrophobic protein lysozyme (0.5 mg/mL, injected through a 5  $\mu$ L loop), as function of ionic strength on a 250 mm long by 2.3-mm i.d. column using of a totally aqueous buffer, is presented in Figure 4. Analogous to the SPE-copoly-TEGDMA zwitterionic monolithic sorbents prepared in Example 5, this SPE-copoly-EDMA zwitterionic monolithic sorbents had a high flow permeability combined with a pronounced apparent hydrophilicity. Their suitability for chromatographic separation of large biomolecules was reduced to practice in the experiments related in Example 12.

#### EXAMPLE 7: PREPARATION OF MONOLITHIC GRAFTING SUBSTRATES

##### BASED ON POLY(TRIM)

Sorbent carrier materials intended as substrates for grafting of zwitterionic monomers were prepared as monoliths, using a polymerization cocktail containing 40 % (w/w) trimethylolpropane trimethacrylate (TRIM) in 60 % of a porogen mixture containing 2,2,4-trimethylpentane:toluene 4:1 (w/w). To this cocktail was added 1 % (w/w) benzoin methyl ether as polymerization initiator.

Photopolymerization was thereafter carried out following the procedure in Example 5, with the significant exception that 50 mm long columns were used and methanol was used in the washing step. The resulting monolithic sorbent carriers were used for synthesis of a zwitterionic sorbent by graft polymerization of SPE zwitterionic monomer onto its porous structure, as disclosed in Example 8.

#### EXAMPLE 8: GRAFTING ZWITTERIONIC POLYMERIC LAYERS ONTO POLY(TRIM) SUBSTRATES

Grafting of zwitterionic monomers into the pore system of the poly(TRIM) monolithic sorbent carriers from Example 7 was achieved by filling the monolithic column with a solution containing 10 % (w/w) SPE zwitterionic monomer and 0.1% (w/w) potassium peroxodisulfate in water. The grafting solution was delivered to the column from a reservoir consisting of a 1.25 mL loop attached to a sample injector, using a flow rate of 0.2 mL/min. When the column had been filled with the grafting solution, it was sealed and the grafting reaction was allowed to proceed for



20 hours at 70 °C. After completion of the reaction, the column was connected to a pump and flushed with 100 mL distilled water, followed by 25 mL of 0.25 M NaCl in order to remove residual monomer and ungrafted homopolymer from the monolithic sorbent. This column resulting from this procedure was used to demonstrate the protein separation disclosed in Example 13.

The choice of a monolithic substrate in this example was made because of the facile way of preparing these carrier substrates, and does not imply that grafting reactions for introduction of zwitterionic layers suitable for practising the invention are restricted to carrier substrates with this confection.

#### EXAMPLE 9: PURIFICATION OF A BACTERIALLY PRODUCED PEPTIDE PREPARATION USING AN SPE-COPOLY-EDMA MONOLITHIC ZWITTERIONIC SORBENT

The applicability of the zwitterionic sorbent prepared in Example 6 was tested for the final purification of a peptide preparation, which had been partly purified by cation exchange on Sephadex followed by hydrophobic interaction chromatography according to known procedures. The peptides in this crude preparation are mainly antibacterial peptides from *Lactobacillus sp.*, and are hydrophobic and basic in nature. Existing optimized final purification schemes for these peptides using conventional materials therefore involves reversed phase chromatography with up to 70 % isopropanol as eluting solution. A chromatogram showing the purification of 100 µL of partly purified antibacterial peptide extract when injected on the SPE-copoly-EDMA monolithic sorbent as a 70 % aqueous ethanol solution is shown in Figure 5. A linear gradient from pure water to 0.1 M phosphate buffer, pH 7, over 10 minutes was used as eluting solution at a flow rate of 0.7 mL/min. The chromatograms in Figure 8 are monitored by UV spectroscopic detection at 214 and 280 nm, respectively. Peak identification was done by biological assay, comprising monitoring the antibacterial activity of fractions eluting from the column by applying aliquots on agar plates with indicator bacteria and registering growth inhibition according to known procedures. Strong antibacterial activity was found in the fractions corresponding to the last eluting peaks in the chromatogram. From the

UV absorbance, it is clearly seen that a significant amount of non-active proteins or peptides are eluted closer to the void volume.

EXAMPLE 10: SEPARATION OF SYNTHETIC PEPTIDES USING AN SPE-COPOLY-EDMA MONOLITHIC ZWITTERIONIC SORBENT

5 A separation analogous to that demonstrated in Example 9 was done on a pair of synthetic antibacterial peptides with molecular weights 2,712 g/mol (A) and 2,669 g/mol (B), and described by the following amino acid sequences:

Ala-Gly-Thr-Lys-Pro-Gln-Gly-Lys-Pro-Ala-Ser-Asn-Leu-Val-Glu-Phe-Val-Phe-Ser-Leu-Phe-Lys-Lys-Cys-Asn

10 Ala-Gly-Thr-Lys-Pro-Gln-Gly-Lys-Pro-Ala-Ser-Asn-Leu-Val-Glu-Cys-Val-Phe-Ser-Leu-Phe-Lys-Lys-Cys-Asn

Both peptides are highly basic (pI 9.9) and differing only with respect to one amino acid (phenylalanine and cysteine, respectively) indicated in the sequences above.

Twenty microliter of a solution containing 0.68 and 0.8 mg/mL, respectively, of the synthetic peptides A and B in 1 % aqueous trifluoroacetic acid was injected on the same column that was used in Example 9, using as eluting solution a linear gradient from pure water to 0.1 M phosphate buffer, pH 7, in 10 minutes at a flow rate of 0.7 mL/min. Detection took place by UV spectroscopy at 214 and 280 nm, respectively. Both peptides were retained on the SPE-based resin, and could be eluted using mild conditions, as shown in Figure 6.

EXAMPLE 11: PROTEIN SEPARATION ON A ZWITTERIONIC SORBENT PREPARED FROM EPOXY ACTIVATED HYDROXYETHYL PARTICLES

The column containing zwitterionic sorbent prepared in Example 3 was used for separation of four selected model proteins (myoglobin, ovalbumin, cytochrome C, and lysozyme) using as eluting solution a gradient changing linearly from pure water to 0.1 M NaCl over a period of 10 min at a flow rate of 1 ml/min, detected by UV spectroscopy at 280 nm. The result of this separation is disclosed in Figure 7.

Elution of all proteins was accomplished with a totally aqueous eluting solution consisting of a gradient from pure water to 0.1 M NaCl. Notably, the peak from lysozyme is sharp and symmetric.

EXAMPLE 12: PROTEIN SEPARATION ON AN SPE-COPOLY-EDMA  
ZWITTERIONIC MONOLITH

A number of protein probes were injected separately on an SPE-copoly-EDMA monolithic zwitterionic sorbent prepared in Example 6, using water as the eluent. The chromatogram in Figure 8 shows that a protein mixture containing 0.5 mg/mL each of myoglobin,  $\alpha$ -chymotrypsinogen A, cytochrome C and lysozyme could be separated in less than 10 minutes using a totally aqueous eluent having a 2.5 mM phosphate buffer (pH 7) as the only ionic component. The ionic strength required to elute the strongest retained protein is thus in the same range as the ionic strength normally used for loading proteins onto conventional cation exchangers [Deutscher, M.P. (Ed.), *Guide to Protein Purification* (Meth. Enzymol., Vol. 182), Academic Press, 1990]. The zwitterionic separation mode is therefore advantageous for preventing precipitation during separation due to salting out, and for preserving the biological activity of proteins. Furthermore, as the protein elutes in a totally aqueous low ionic strength buffer in the physiological pH range, this process is advantageous in preparative mode separations. Considering the high amount of zwitterionic groups in the tested columns, the interaction between the SPE-copoly-EDMA monolithic zwitterionic sorbent and proteins is of a substantially weaker nature than that seen with ordinary cation or anion exchange resins (used either separately, in tandem, in mixed bed, or in mixed-mode configurations). The SPE-copoly-EDMA zwitterionic monolith is also showing a quite symmetrical peak for the basic enzyme lysozyme (known to be particularly difficult to separate due to its high pI and pronounced hydrophobicity). This separation was achieved without having to resort to extreme eluting conditions, such as, e.g., the combined use of a pH gradient from pH 5 to pH 8 in combination with 1 M ionic strength in the elution solution, as required with the mixed-mode media described by Kurganov et al., containing both cation and anion exchange sites spatially separated on the same carrier (Kurganov,

A.A.; Davankov, V.A.; Unger, K.K., J. Chromatogr., 1991, 548, p. 212 and Figure 5 contained in this reference).

EXAMPLE 13: PROTEIN SEPARATION ON AN SPE GRAFTED TRIM  
MONOLITH

The monolithic zwitterionic sorbent prepared by grafting of SPE onto a TRIM-based monolithic sorbent carrier in Example 8 was used as a separation column for a protein test mixture containing as test probes the acidic proteins ovalbumin and conalbumin, and the basic proteins  $\alpha$ -chymotrypsinogen A and cytochrome C, injected on the column as a 20  $\mu$ L sample containing of 1 mg/mL of each protein. The eluting solution was a gradient consisting from 0 to 2 min after the injection of 100 % water, and from 2-12 min of a linear gradient from water to 0.15 M NaClO<sub>4</sub>, pumped at a flow rate of 0.5 mL/min. Detection took place by UV spectroscopy at 280 nm.

The separation of acidic and basic proteins in the same chromatogram, as shown in Figure 9, cannot be carried out on an ion exchange sorbent containing only anion exchange or cation exchange groups. The symmetric shape of the curves demonstrates a very low unspecific binding of the proteins to the grafted zwitterionic sorbent, indicative of advantageous kinetics in the chromatographic retention process. The higher eluent strength needed on this grafted sorbent, as compared to an SPE-copoly-EDMA monolithic sorbent having zwitterionic monomer as part of its basic composition (cf. Example 12) or a particulate sorbent with zwitterionic pendant moieties reacted onto its surface (cf. Example 11; when comparing the elution conditions it must be noted that perchlorate is substantially more effective as eluting ion compared to the chloride ion) reflects an increased availability of the grafted zwitterionic chains to the proteins, most probably due to the extension of the graft chains into solution, in combination with the absence of crosslinking in the grafted layer. It is thus apparent from Examples 11-13, that the retentive strength of the zwitterionic separation sorbents *vis-a-vis* proteins can be varied within a wide range by either a) incorporation of zwitterionic monomers in

the polymerization mixture leading to the polymeric carrier substrate, which thus becomes a zwitterionic sorbent without further functionalization reactions; b) functionalizing the sorbent carrier surface with zwitterionic groups, c) attaching zwitterionic grafts of varying length to the sorbent carrier surface; or any  
5 combination thereof.

#### EXAMPLE 14: DETERMINATION OF PROTEIN RECOVERY ON ZWITTERIONIC SEPARATION MATERIALS

Non-specific interactions between proteins and sorbents constituting chromatographic stationary phases may cause severe tailing and peak distortion in  
10 the chromatograms, as well as a decrease in the effective throughput of intact proteins in preparative mode. Lysozyme is a hydrophobic and highly basic protein of moderate size, and is therefore well suitable as a probe for biocompatibility of separation media (Müller, W., J. Chromatogr., 1990, 510, 133-140). An experiment was consequently carried out on the SPE-copoly-EDMA zwitterionic monolithic  
15 sorbent prepared according to Example 6, under conditions similar to those practised in Example 12, to determine the recoveries of the proteins used as probes in Example 12 after the separation. The recovery for the relatively hydrophilic protein cytochrome C was found to be 96 %, whereas the recovery for lysozyme reached above 85 %, despite its pronounced hydrophobic character in combination  
20 with a totally aqueous eluting solution. The favorable hydrophilic properties of these zwitterionic stationary phases is also evident from the relative absence of tailing in the separation of the synthetic peptides, as disclosed in Examples 9 and 10. These peptides are small and highly hydrophobic, and are therefore known to "stick" strongly to hydrophobic moieties that may be exposed on chromatographic sorbents.

CLAIMS

1. A sorbent suitable for use as a stationary phase in elution chromatography, the core of said sorbent consisting of an organic resin and said sorbent having a plurality of covalently bonded non-aromatic zwitterionic groups on its surface.
2. A sorbent according to claim 1, **characterised** in that the sorbent comprises a porous carrier.
3. A sorbent according to claim 1 or claim 2, **characterised** in that the zwitterionic non-aromatic groups have been bound to the carrier by polymerising, preferably graft polymerising, monomers comprising non-aromatic zwitterionic groups on the surface of the carrier.
4. A sorbent according to claim 3, **characterised** in that the zwitterionic non-aromatic groups have been incorporated throughout the structure of the carrier sorbent by polymerising monomers comprising non-aromatic zwitterionic groups together with suitable divinyl crosslinking monomers.
5. A sorbent according to claim 1 or claim 2, **characterised** in that the zwitterionic non-aromatic groups have been bound to the carrier by activation of the carrier with an alkylating functional group, which is subsequently reacted with an  $\omega$ -dialkylaminoalkylsulfonic acid to form non-aromatic zwitterionic groups on the carrier.
6. A sorbent carrier according to claim 1 or claim 2, **characterised** in that the surface of the organic resin has been activated by incorporation of a reactive functional group such as epoxy, or halogenoalkyl, such as chloroalkyl or bromoalkyl and that is capable of alkylating the amino group of an

aminoalkylsulfonic acid in a reaction producing covalently bonded zwitterionic non-aromatic groups on the sorbent carrier.

- 5 7. A sorbent carrier according to claim 1 or claim 2, **characterised** in that the surface of the organic resin has been activated by incorporation of a reactive functional group such as hydroxyalkyl, carboxylic acid, carboxylic acid chloride, carboxylic acid bromide, carboxylic anhydride, carboxylic ester, alkyl oxonium, epoxy, chloroalkyl, bromoalkyl, diazoalkyl, or activated amide such as a carboxylic imidazolide or triazolide, that is capable of forming an ester or ether
- 10 bond with a hydroxyl group residing on the alkyl chain interconnecting the quarternary ammonium group and the sulfonate group in a sulfobetaine zwitterion, thus covalently binding a non-aromatic zwitterionic group to the surface of the activated sorbent carrier in a lateral fashion.
- 15 8. A sorbent carrier according to any one of the previous claims, **characterised** in that the carrier is a polymeric monolith.
9. A sorbent carrier according to any one of the previous claims, **characterised** in that the zwitterionic groups are  $\omega$ -sulfoalkyl-trialkylammonio (sulfobetaine)
- 20 groups.
10. A method for purifying a particular biological macromolecule such as a protein or a nucleic acid by zwitterionic ion exchange chromatography, comprising the steps of
- 25 a) determining the appoximative net charge of the biological macromolecule in aqueous solution as a function of pH of said solution;
- b) using the information obtained in step a) for choosing a pH and an ionic strength at which the macromolecule obtains a suitably strong interaction with a zwitterionic ion exchange column;

- c) using the information obtained in step b) for choosing a pH and an ionic strength at which the macromolecule is eluted;
- d) applying a solution containing said biological macromolecule to a column comprising zwitterionic sorbent carriers, said solution having a pH and an ionic strength that have been chosen in step b);
- e) eluting the column in step d) with an elution solution whose pH and ionic strength have been chosen in step c); and
- f) recovering said biological macromolecule.

10 11. A method according to claim 10, **characterised** in that the column contains sorbent carriers comprising zwitterionic non-aromatic groups according to anyone of claims 1-9.

15 12. A method according to claim 10 or claim 11, **characterised** in that the maximal ionic strength used is 0.25 M.

13. A method according to anyone of claims 10-12, characterised in that the solvent in the elution solution consists of water with less than 10% admixture of an organic solvent.

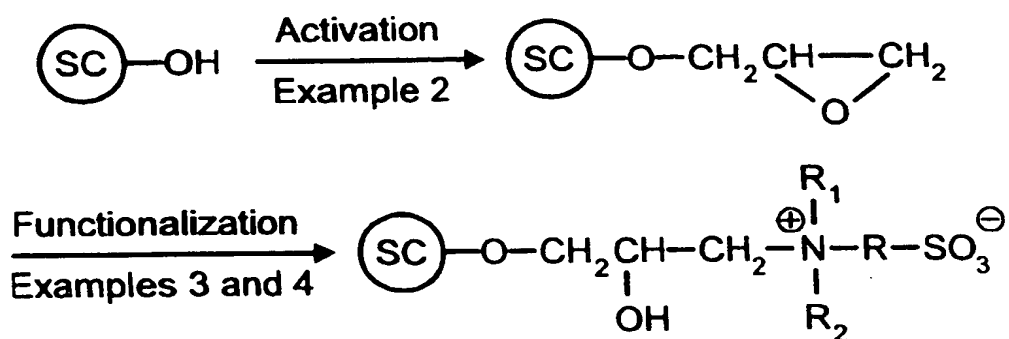
20

14. An ion exchange column suitable for use in zwitterionic ion exchange chromatography comprising a sorbent carrier according to anyone of claims 1-9.



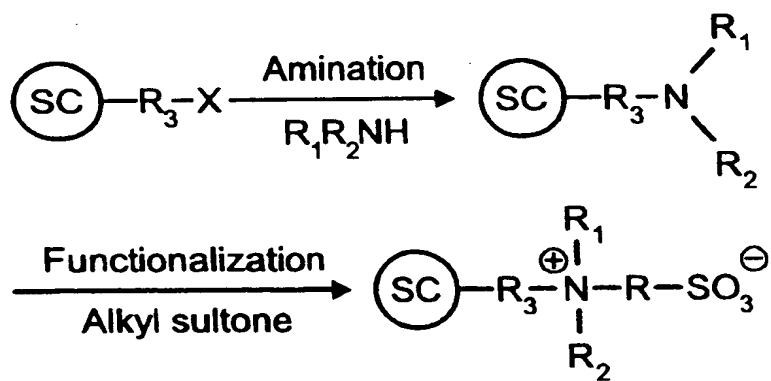
Fig. 1

**A)**



**SC = Sorbent carrier**

**B)**



**SC = Sorbent carrier**

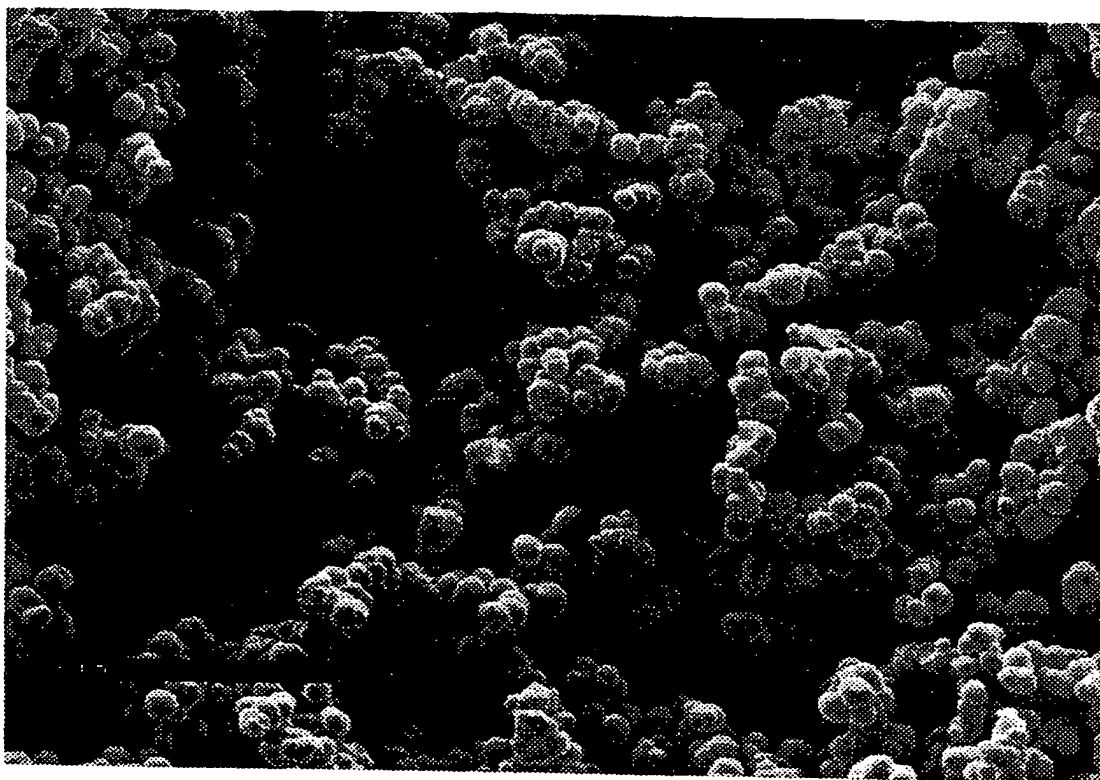
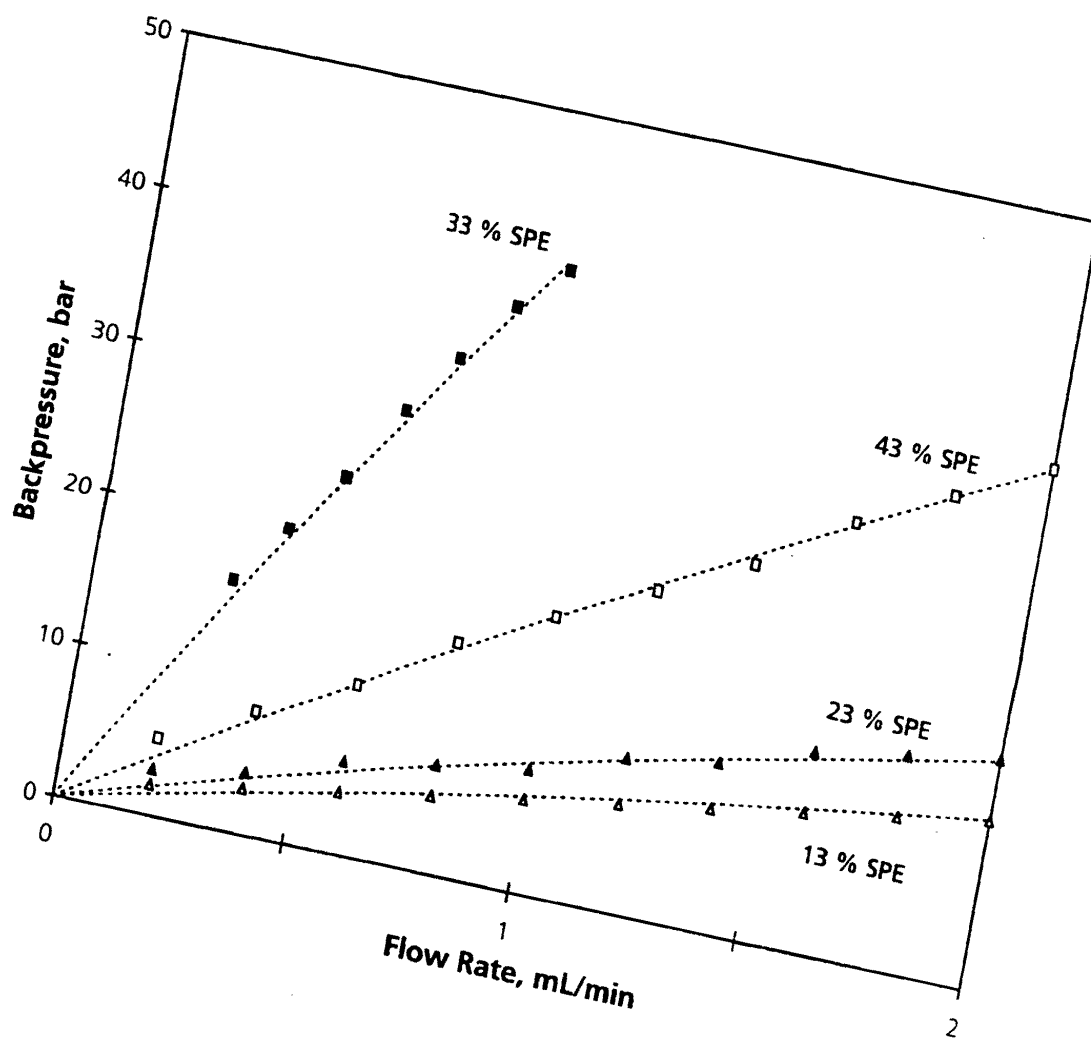


Fig. 3



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Fig. 4

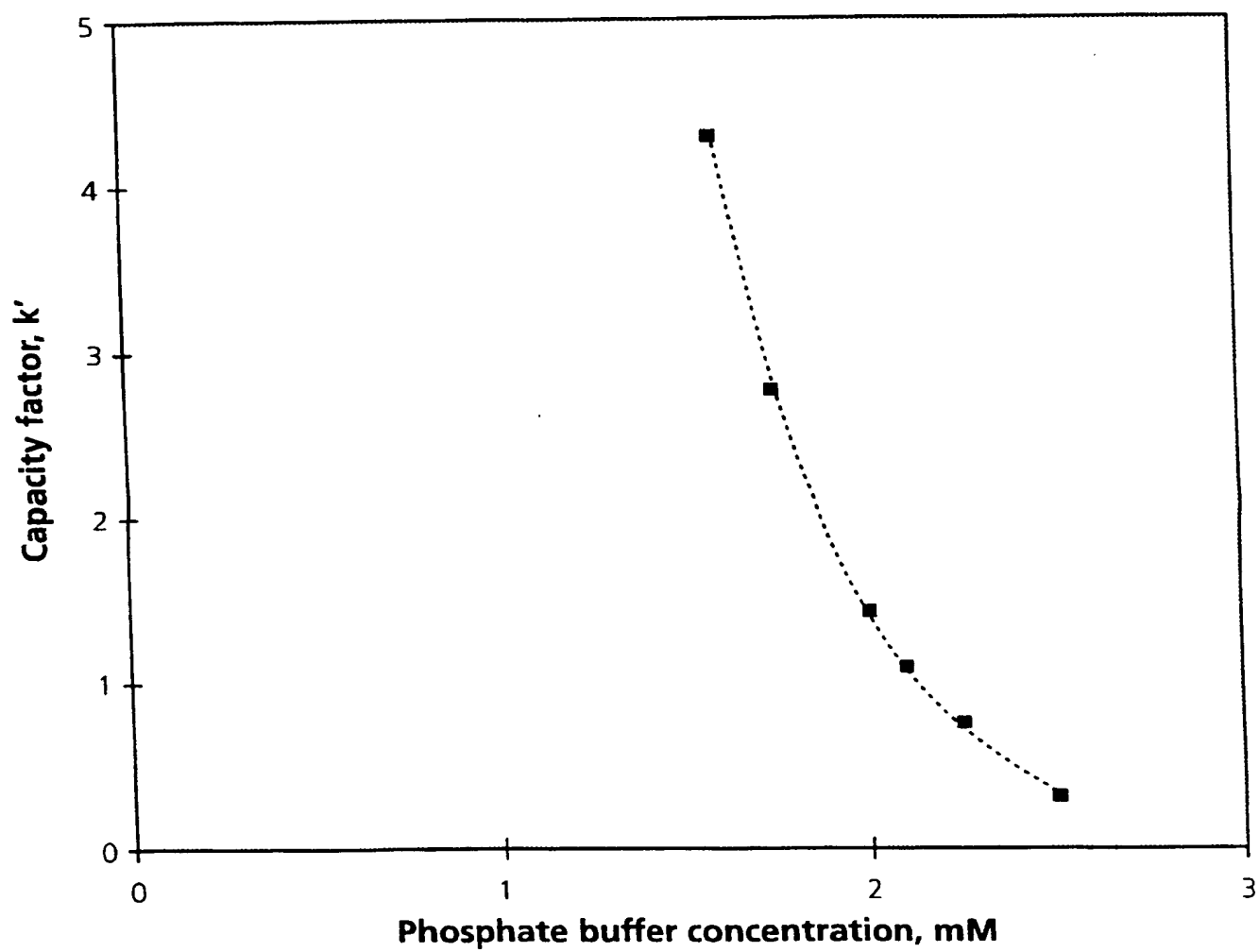
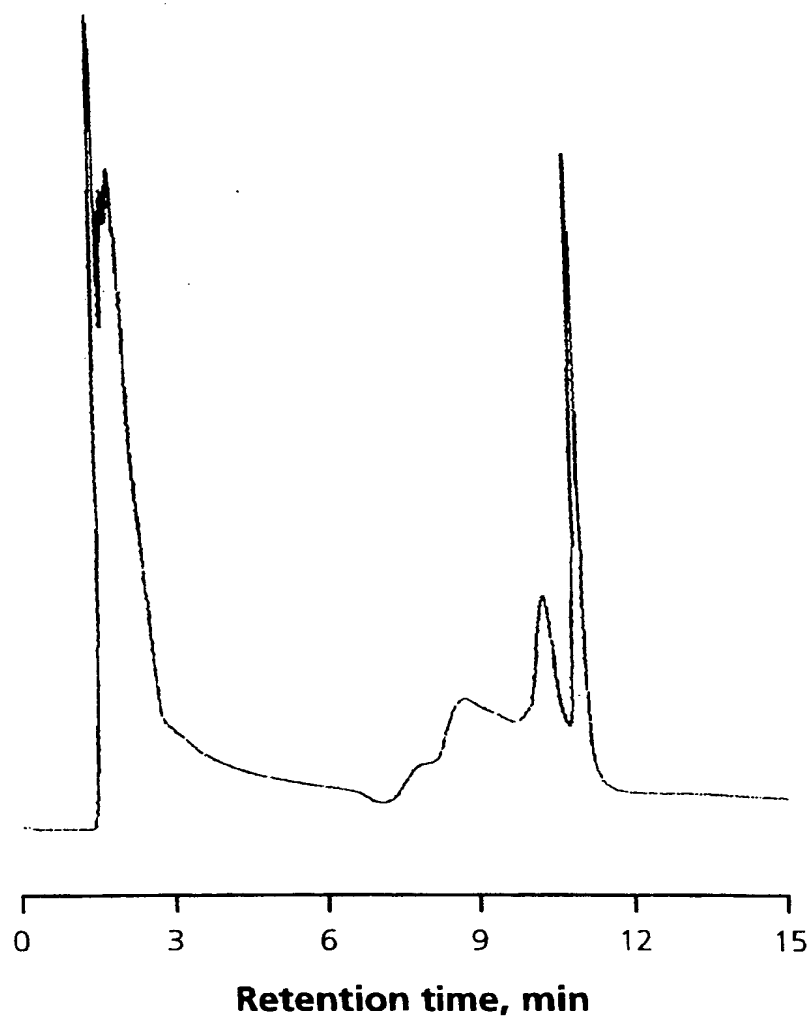


Fig. 5



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Fig. 6

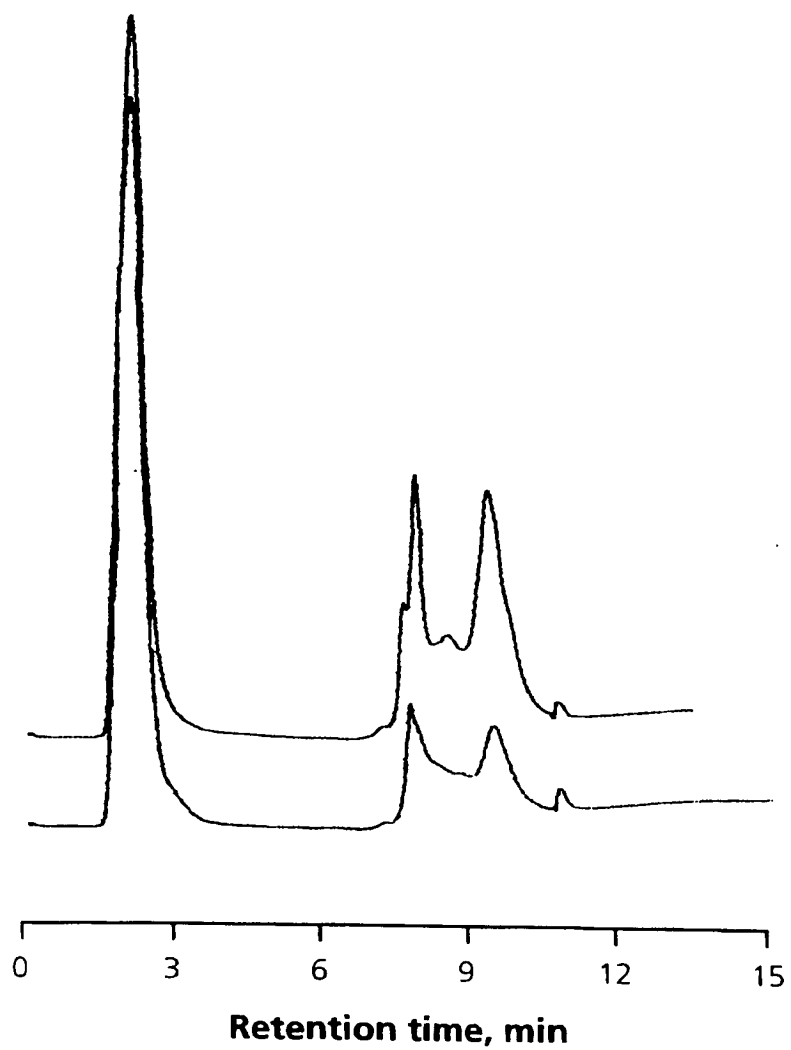


Fig. 7

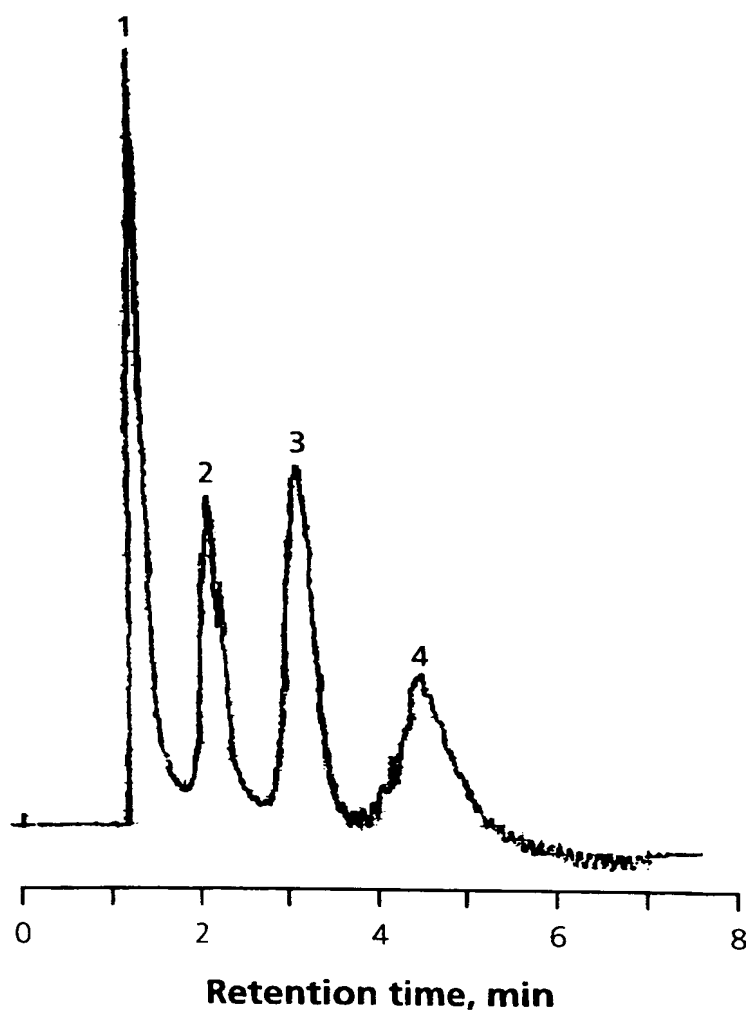
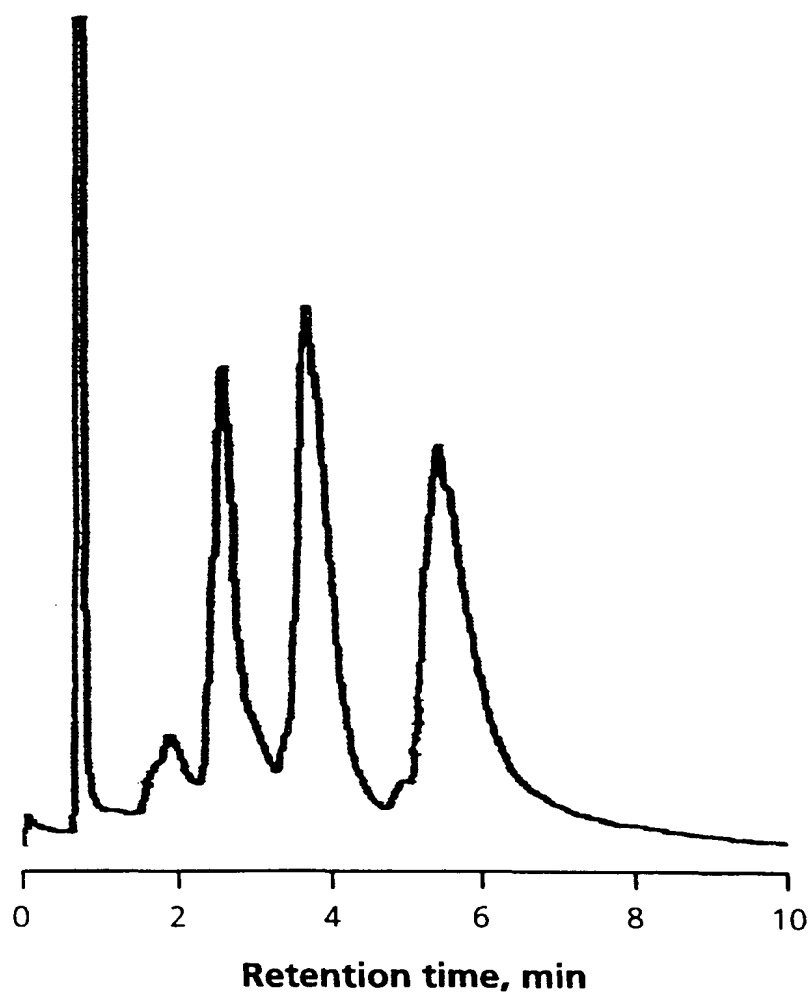


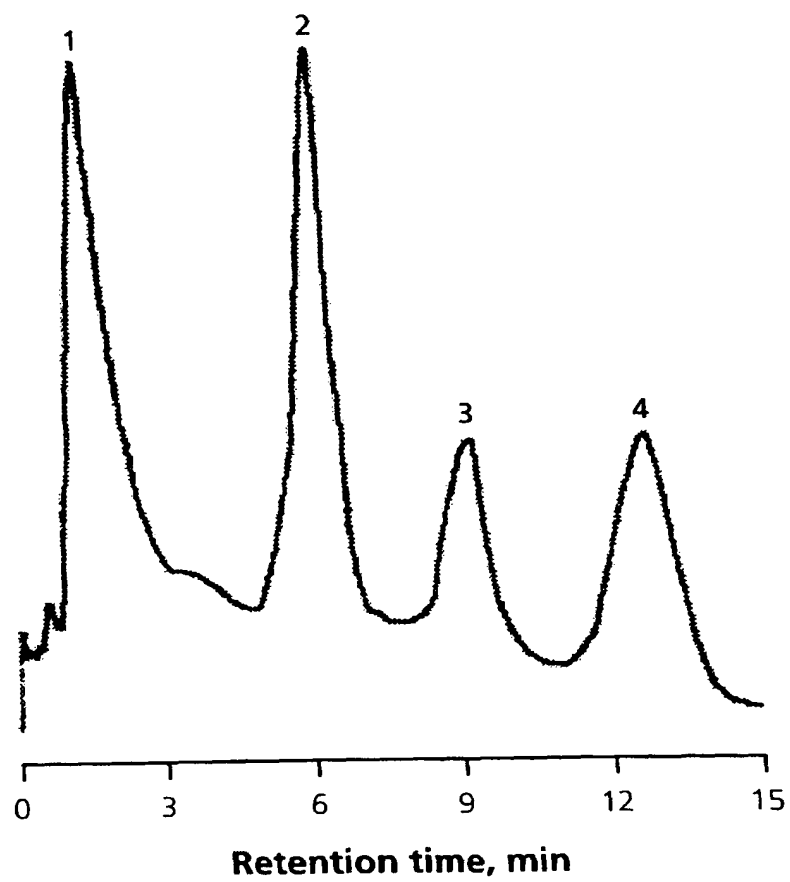
Fig. 8





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Fig. 9



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 99/02032

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: B01D 15/08

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: B01D, B01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5589069 A (HU WENZHI), 31 December 1996 (31.12.96), column 12, line 29 - line 31, abstract  --	1-9,11,14
A	WO 9849279 A1 (DIAMYD THERAPEUTICS AB), 5 November 1998 (05.11.98), claim 1, abstract  -- -----	1-9,11,14

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

\* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "I" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

10 April 2000

Date of mailing of the international search report

19-04-2000

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# INTERNATIONAL SEARCH REPORT

International application No.  
**PCT/SE 99/02032**

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

**See extra sheet.**

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: **1-9, 11, 14**

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

International application No.  
**PCT/SE 99/02032**

This application contains the following inventions, which are not so linked as to form a single inventive concept under PCT rule 13.1:

1. Claims 1-9, 11 and 14 relates to sorbent for ion exchange chromatography. The sorbent has an organic core and a plurality of zwitterionic groups covalently bonded to it.
2. Claims 10, 12 and 13 relates to a method for purifying macromolecules by any zwitterionic ion exchange chromatography. The net charge of the macromolecule sample is determined as a function of pH. This function is used to determine a suitable pH-value for the separation.

The special technical feature of group 1 is that the sorbent has an organic core and a plurality of zwitterionic groups covalently bonded to it, while the special technical feature of group 2 is purification of macromolecules by any zwitterionic ion exchange chromatography.

Group 2 does not involve zwitterionic groups covalently bonded to the sorbent.

These groups of inventions are not so linked as to form a single general inventive concept. There is no technical relationship among these inventions involving one or more of the same or corresponding technical features.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

02/12/99

International application No.

PCT/SE 99/02032

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5589069 A	31/12/96	JP 6229994 A	19/08/94
WO 9849279 A1	05/11/98	AU 4796797 A	22/02/99
		AU 7353298 A	24/11/98
		SE 9701564 D	00/00/00
		AU 6234798 A	09/09/98
		SE 9800256 D	00/00/00
		AU 7353898 A	24/11/98
		SE 9800735 D	00/00/00